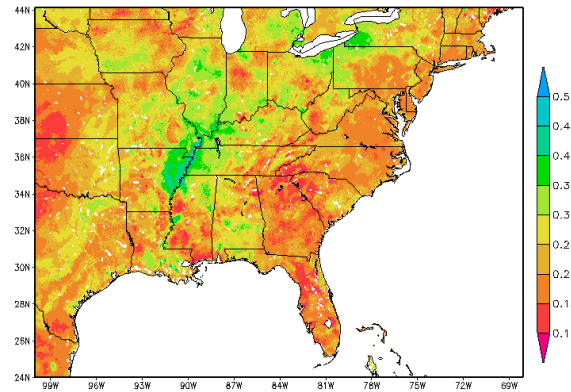


SMAP Assimilation Impacts on Land Surface and Numerical Weather Prediction Models



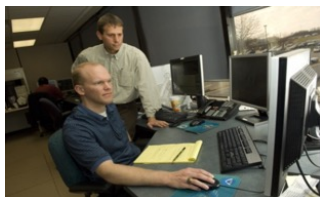
Clay Blankenship (*USRA*)

Jonathan Case (*ENSCO, Inc.*)

William Crosson (*USRA*)

Christopher Hain (*NASA-MSFC*)

Bradley Zavodsky (*NASA-MSFC*)



Short-term Prediction Research and Transition (SPoRT) Center

Mission: Transition unique NASA and NOAA observations and research capabilities to the operational weather community to improve short-term weather forecasts on a regional and local scale.

- Close collaboration with numerous WFOs and National Centers across the country
- SPoRT activities began in 2002, first products to AWIPS in 2003
- Co-funded by NOAA since 2009 through Proving Ground activities
- Proven paradigm for transition of research and experimental data to operations

Benefit:

- Demonstrate capability of NASA and NOAA experimental products to weather applications and societal benefit
- Take satellite instruments with climate missions and apply data to solve shorter-term weather problems



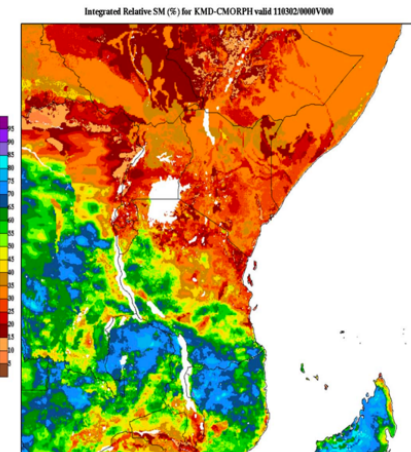
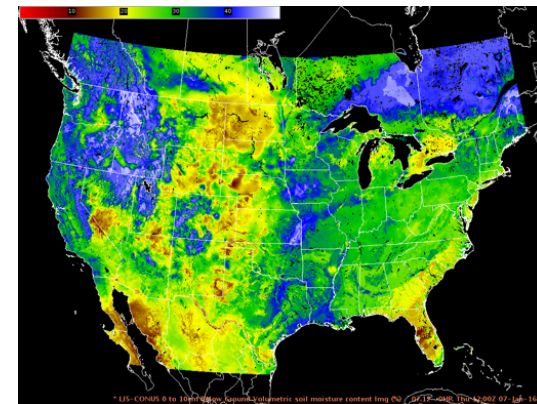
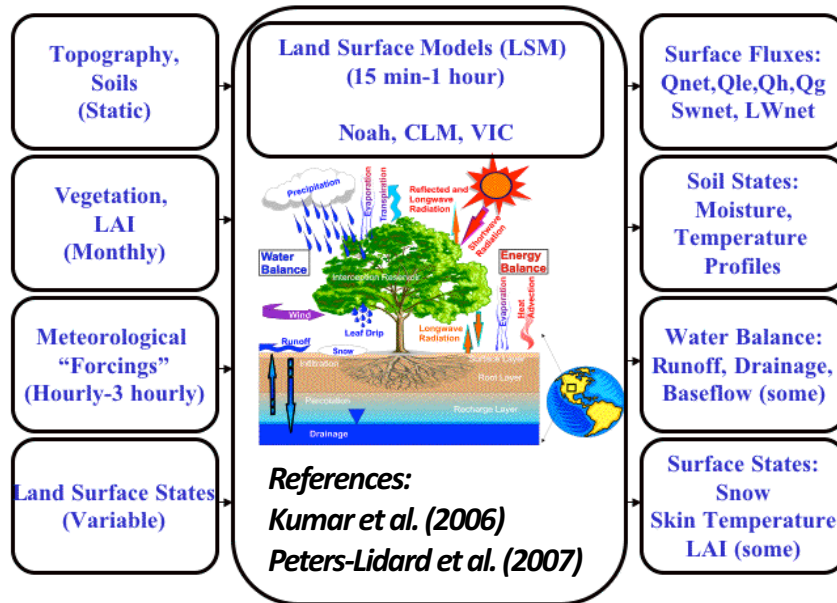
Overview of Project

Domain	CONUS	East Africa
Assimilate SMAP in LIS Evaluate soil moisture vs. station measurements	✓ In progress	
Coupled NU-WRF Experiments (LIS+WRF) Evaluate 48-h weather forecasts	Preliminary	

Refinement of methodology

- Vertical layers
- Bias correction methods
- Ensemble size, perturbations, weighting

Land Information System (LIS)



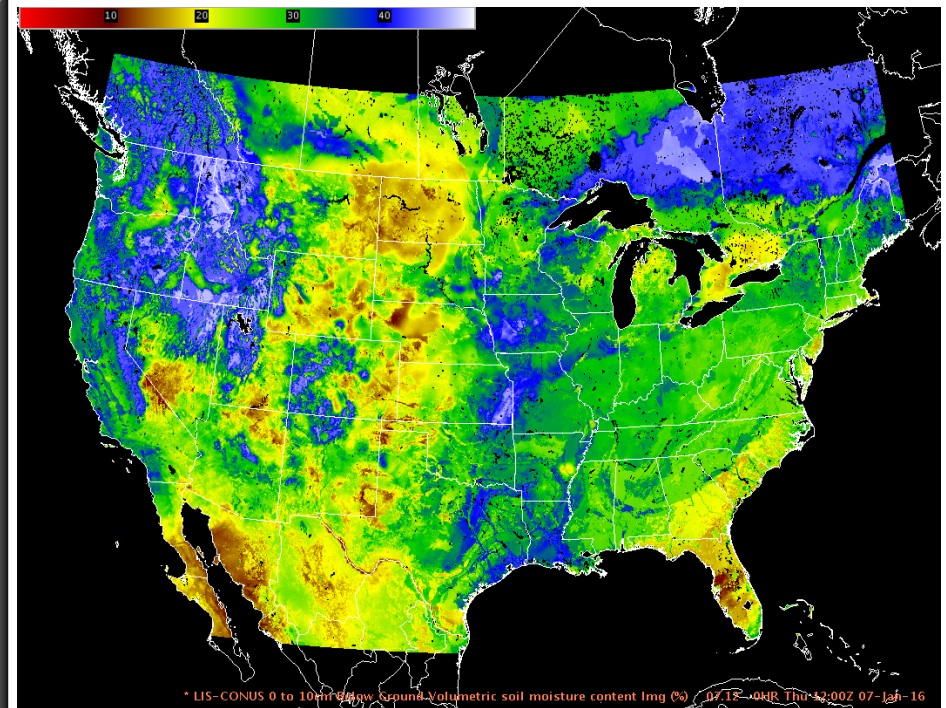
- Framework for running LSMs incorporating a wide variety of meteorological forcing data and land surface parameters
 - Developed by NASA-GSFC
 - Includes data assimilation capability.
 - Can be run coupled with Advanced Research WRF.
- Using Noah 3.3 Land Surface Model (LSM) within LIS
- SPoRT maintains near-real-time and experimental LIS runs
 - SE US (3-km), shared with WFO's
 - East Africa, shared with Kenya Meteorological Service (KMS)

SPoRT LIS Unique Features

Full Continental U.S. (CONUS) domain
with 0.03° (lat/lon) grid resolution

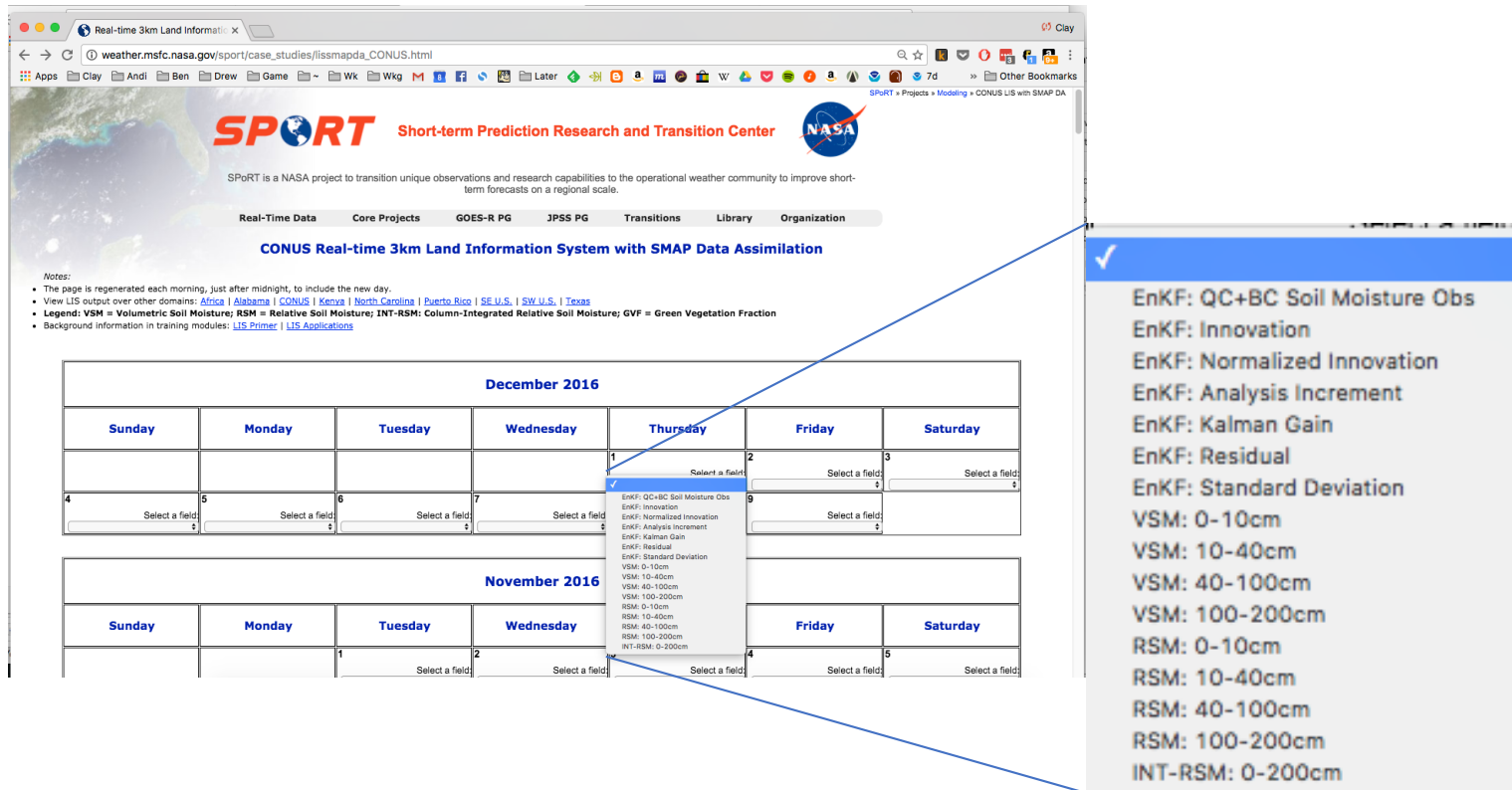
Unique characteristics of SPoRT-LIS:

- Real-time S-NPP/VIIRS Green Vegetation Fraction
- Albedo scaled to input vegetation
- Restart simulation strategy to produce real-time output (timeline below)
- SPoRT-LIS ingested and displayed in AWIPS II at select NOAA/NWS weather forecast offices
- Land surface variables available to initialize modeling applications (WRF and STRC/EMS/UEMS)



Current SPoRT-LIS CONUS domain,
as displayed in AWIPS II

SPoRT LIS Web Interface



SPoRT Short-term Prediction Research and Transition Center

SPoRT is a NASA project to transition unique observations and research capabilities to the operational weather community to improve short-term forecasts on a regional scale.

Real-Time Data Core Projects GOES-R PG JPSS PG Transitions Library Organization

CONUS Real-time 3km Land Information System with SMAP Data Assimilation

Notes:

- The page is regenerated each morning, just after midnight, to include the new day.
- View LIS output over other domains: [Africa](#) | [Alabama](#) | [CONUS](#) | [Florida](#) | [North Carolina](#) | [Puerto Rico](#) | [SE U.S.](#) | [SW U.S.](#) | [Texas](#)
- Legend: **VSM** = Volumetric Soil Moisture; **RSM** = Relative Soil Moisture; **INT-RSM** = Column-Integrated Relative Soil Moisture; **GVF** = Green Vegetation Fraction
- Background information in training modules: [LIS Primer](#) | [LIS Applications](#)

December 2016

Sunday	Monday	Tuesday	Wednesday	Thursday	Friday	Saturday
				1	2	3
4	5	6	7	8	9	10

November 2016

Sunday	Monday	Tuesday	Wednesday	Thursday	Friday	Saturday
		1	2	3	4	5

Available Data Fields:

- EnKF: QC+BC Soil Moisture Obs
- EnKF: Innovation
- EnKF: Normalized Innovation
- EnKF: Analysis Increment
- EnKF: Kalman Gain
- EnKF: Residual
- EnKF: Standard Deviation
- VSM: 0-10cm
- VSM: 10-40cm
- VSM: 40-100cm
- VSM: 100-200cm
- RSM: 0-10cm
- RSM: 10-40cm
- RSM: 40-100cm
- RSM: 100-200cm
- INT-RSM: 0-200cm

https://weather.msfc.nasa.gov/sport/case_studies/lissmapda_CONUS.html

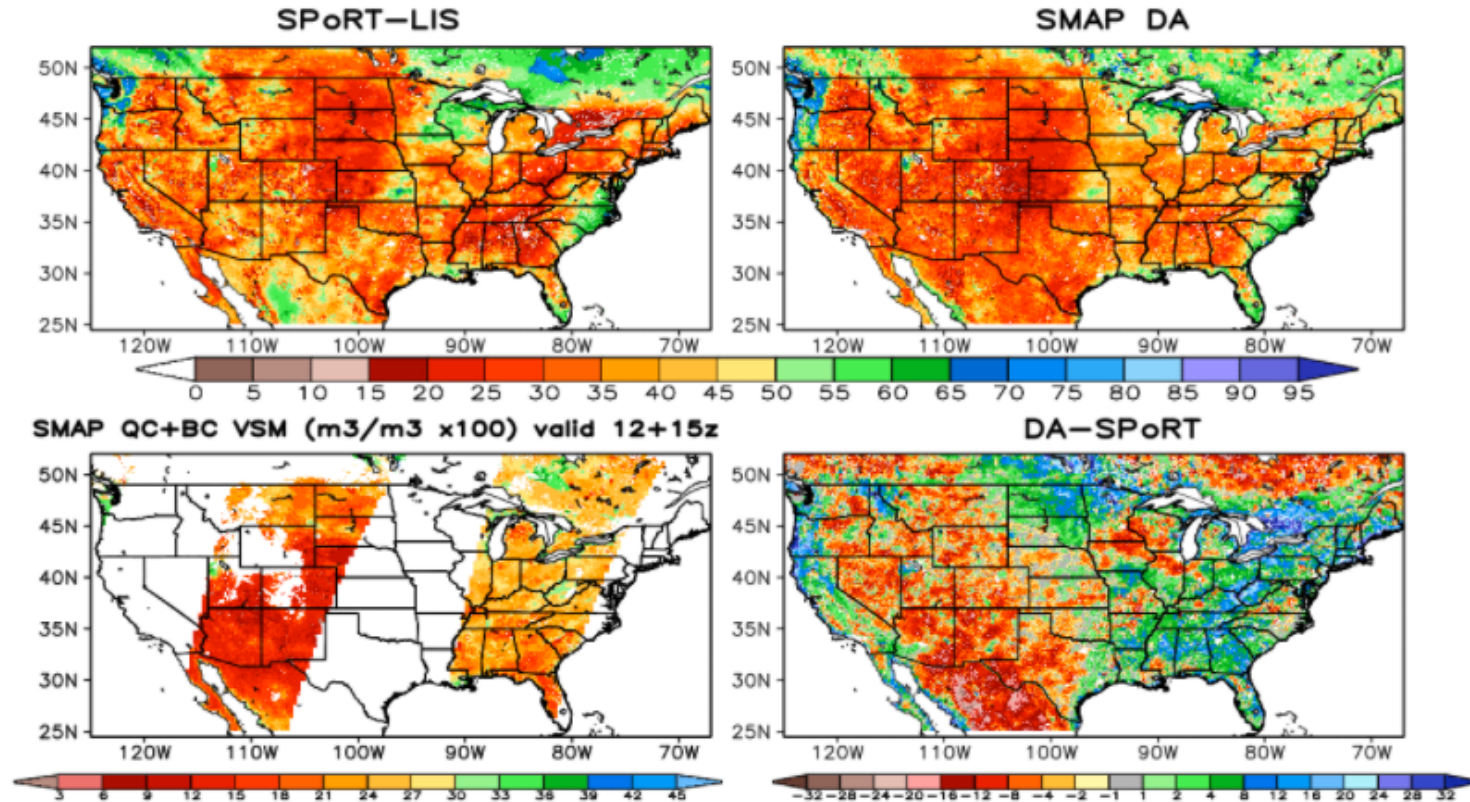
or <https://weather.msfc.nasa.gov/sport> ->Real-Time Data

->Land Information System

-> SPoRT LIS + SMAP DA

LIS Web Products from SPoRT: SMAP LIS

Column-Integrated Relative Soil Moisture (%) valid 15z 18 Oct 2016



- 0-10 cm model soil moisture

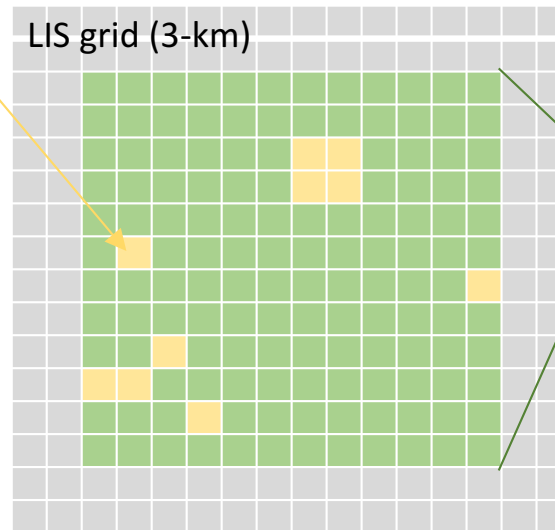
https://weather.msfc.nasa.gov/sport/case_studies/lissmapda_CONUS.html

Sampling Strategy

- Level 2 data are available on 36-km EASE grid
- To take advantage of high resolution geophysical properties (topography, vegetation, soils), running model at 3-km
- SMAP observations are assimilated at each model grid point in their FOV
- Downscaling to preserve background variability implemented

Some QC applied on LIS grid
Depends on LSM/variable
(e.g. Noah3.3+soil moisture)

- Precip (changed to 1 mm/hr)
- Frozen ground
- Snow on ground
- GVF>0.7
- Extreme values



Data flag-based QC applied at observation resolution

- **Retrieval Quality Flag**
- Vegetation Opacity
- Vegetation Water
- Frozen Ground Fraction

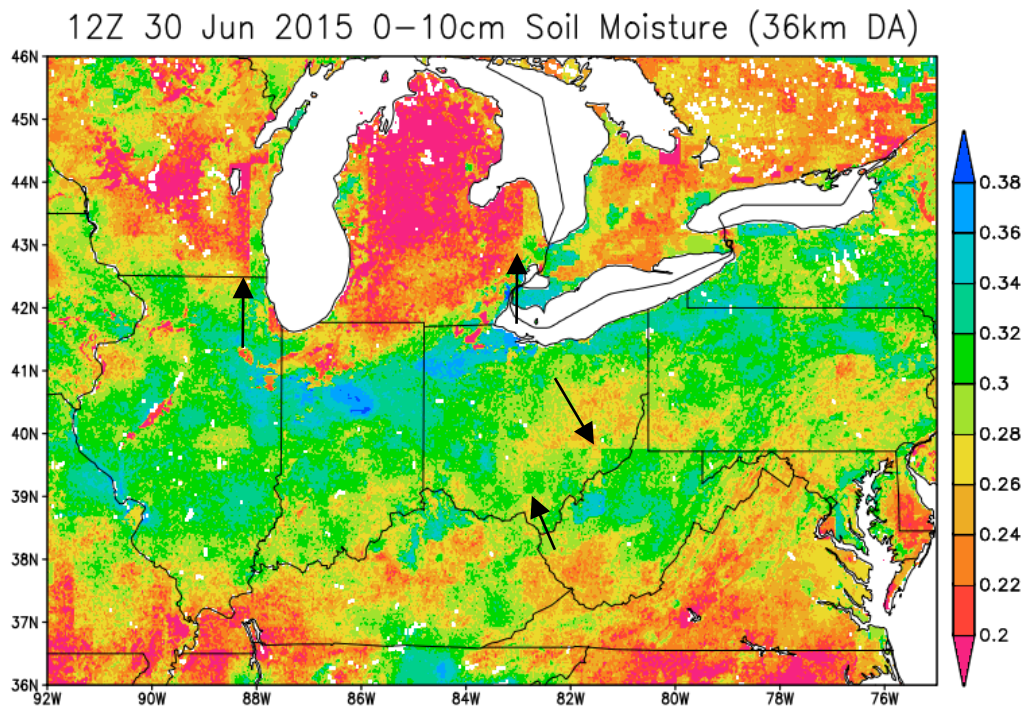
Bias correction will be applied on LIS grid.

SMAP and LIS grids are not aligned. Near boundaries, keep only one observation per cell (closest good ob)

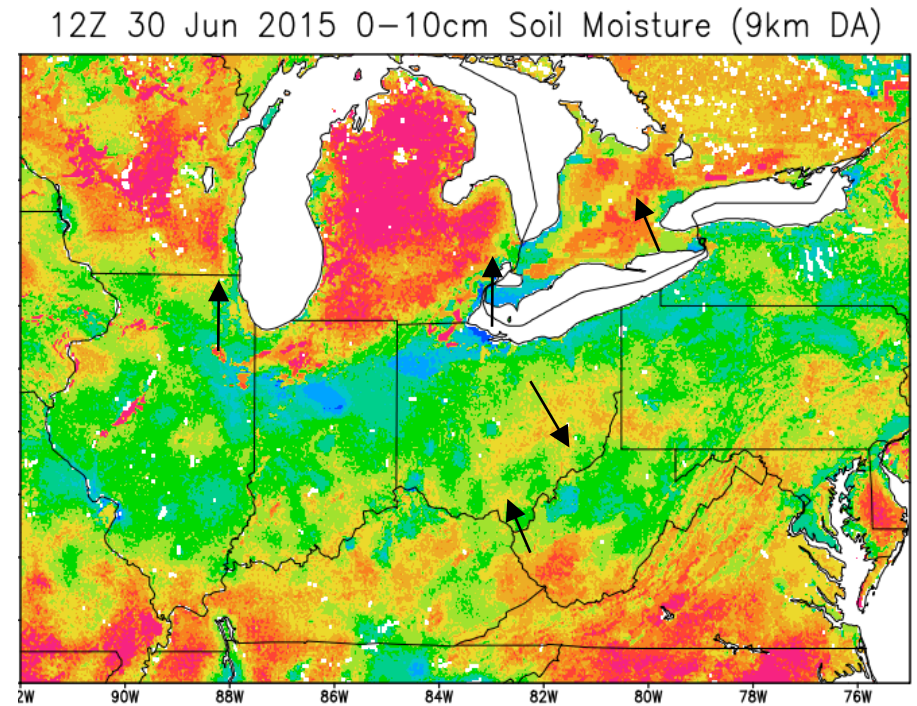
Assimilation of SMAP Enhanced (9-km) Product

0-10 cm Volumetric Soil Moisture (%)

LIS with 36-km SMAP DA



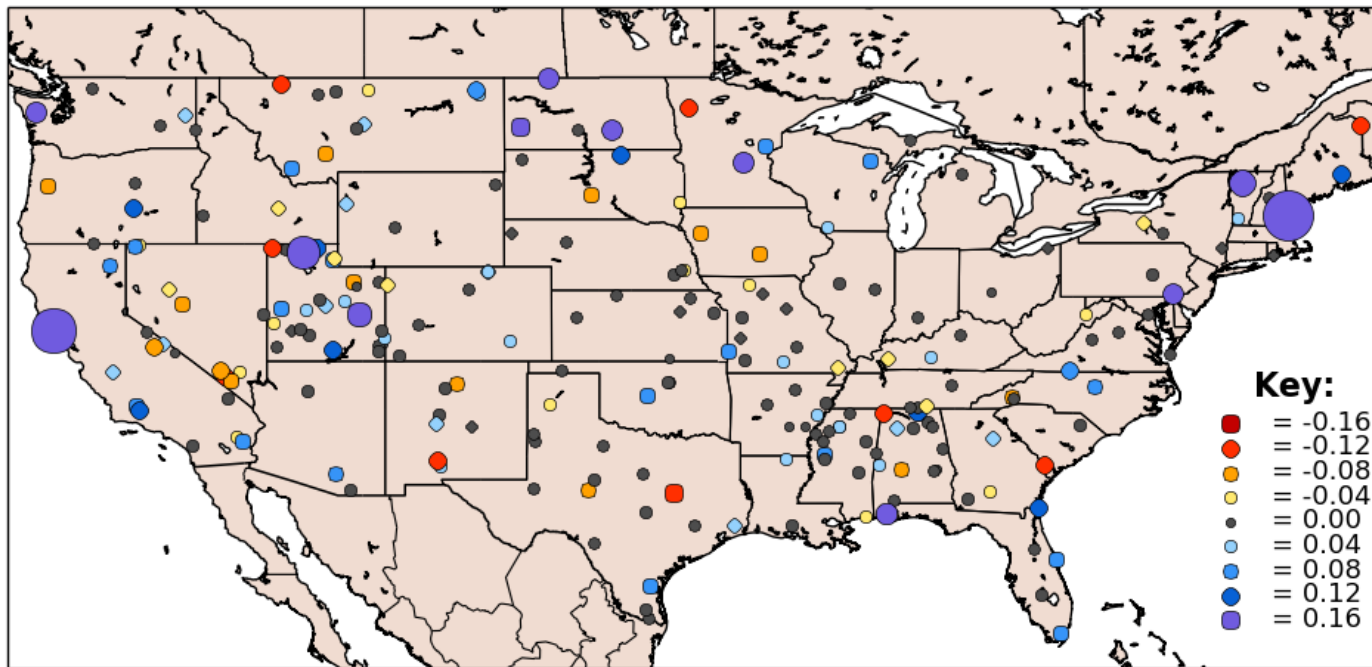
LIS with 9-km SMAP DA



Note linear and square features (e.g., at arrows) on left resulting from the coarse 36-km resolution of the SMAP data. Reduced on right due to using 9-km Enhanced SMAP data.

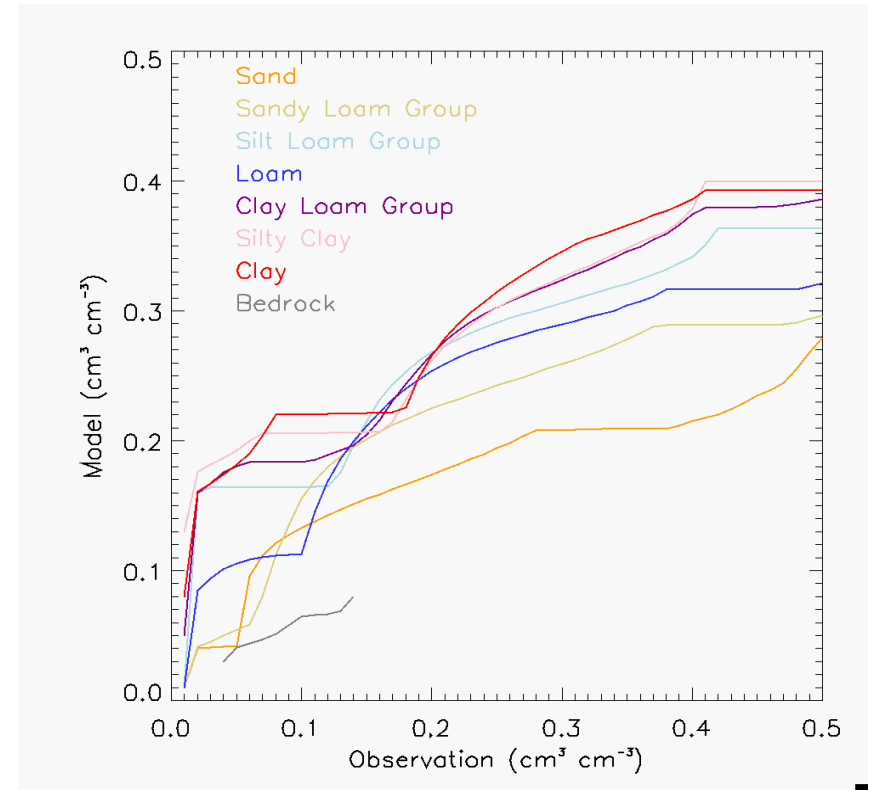
Impact of Enhanced SMAP (correlations)

Y2015 0-10 cm SM SMAPENHDA-SMAPDA RCORR Diff at SCAN+USCRN Stations



Bias Correction

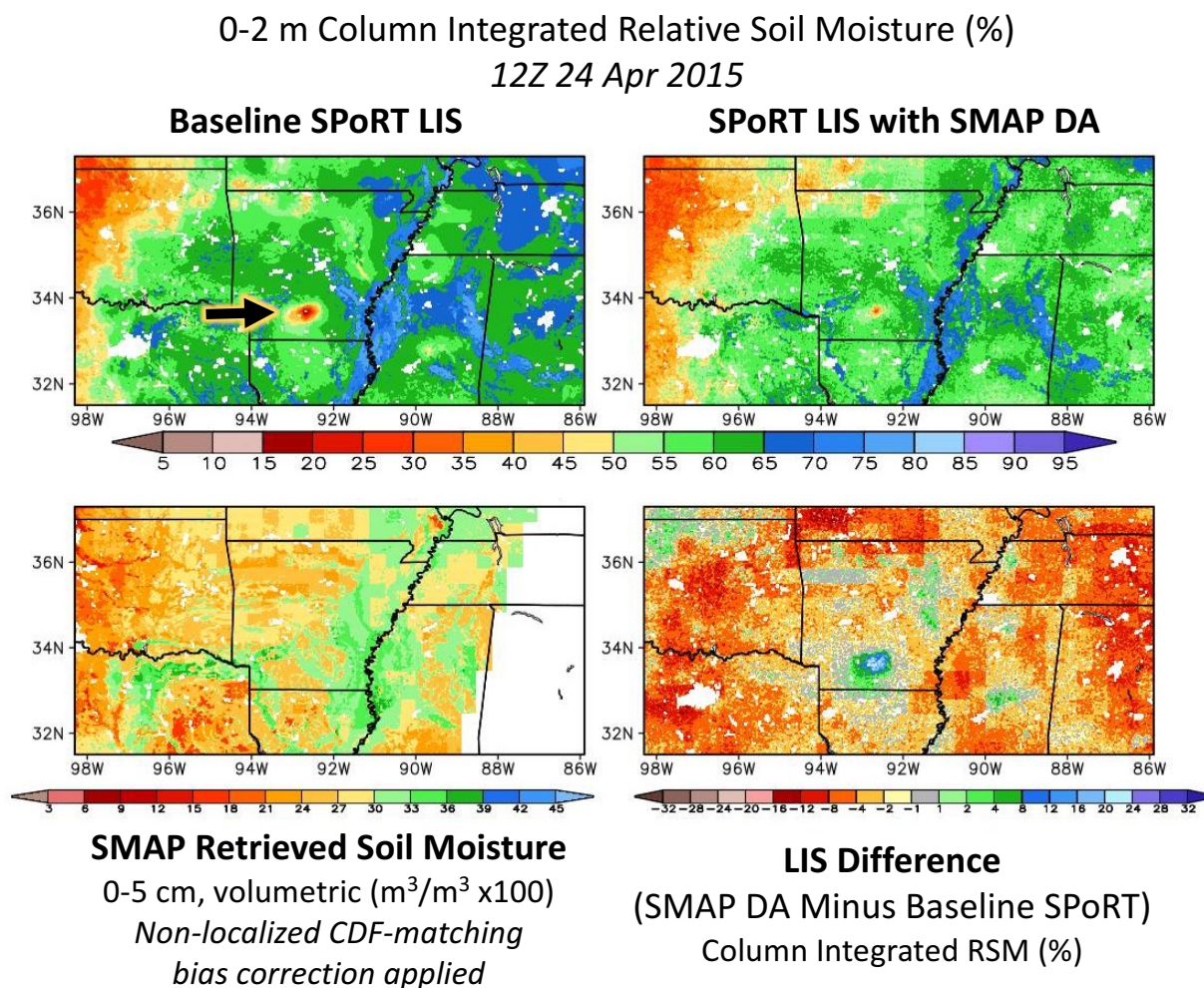
- Assimilation systems assume unbiased observations
- LIS can apply point-by-point correction curves. Many implementations generate climatologies of model and obs at each grid point.
- We have implemented CDF matching aggregated by soil type
 - Described for SMOS in Blankenship et al. 2016 (*IEEE TGRS*)
 - Idea is to let the observations influence the model climatology
- Other methods will be explored including using only nearby points
- Using a thinner soil moisture layer may reduce forward operator error and subsequently the magnitude of bias corrections



Correction Curves
By Soil Type

SMAP Assimilation Reduces Errors due to Poor QC in Forcing Data

- Land surface models such as SPoRT LIS are forced using precipitation inputs (NLDAS-2 in this case)
- In 2015, NLDAS-2 included data from a bad rain gauge (consistently near zero) in southern Arkansas causing an anomalously dry soil moisture “bullseye” (upper left, arrow).
- Through assimilation of SMAP L2 soil moisture fields, which do not exhibit this feature (lower left), this anomaly is greatly reduced over time (upper right) to provide a more representative soil moisture field.
 - Snapshot is 24 days after beginning of assimilation.
- This results in a more accurate depiction of local conditions.

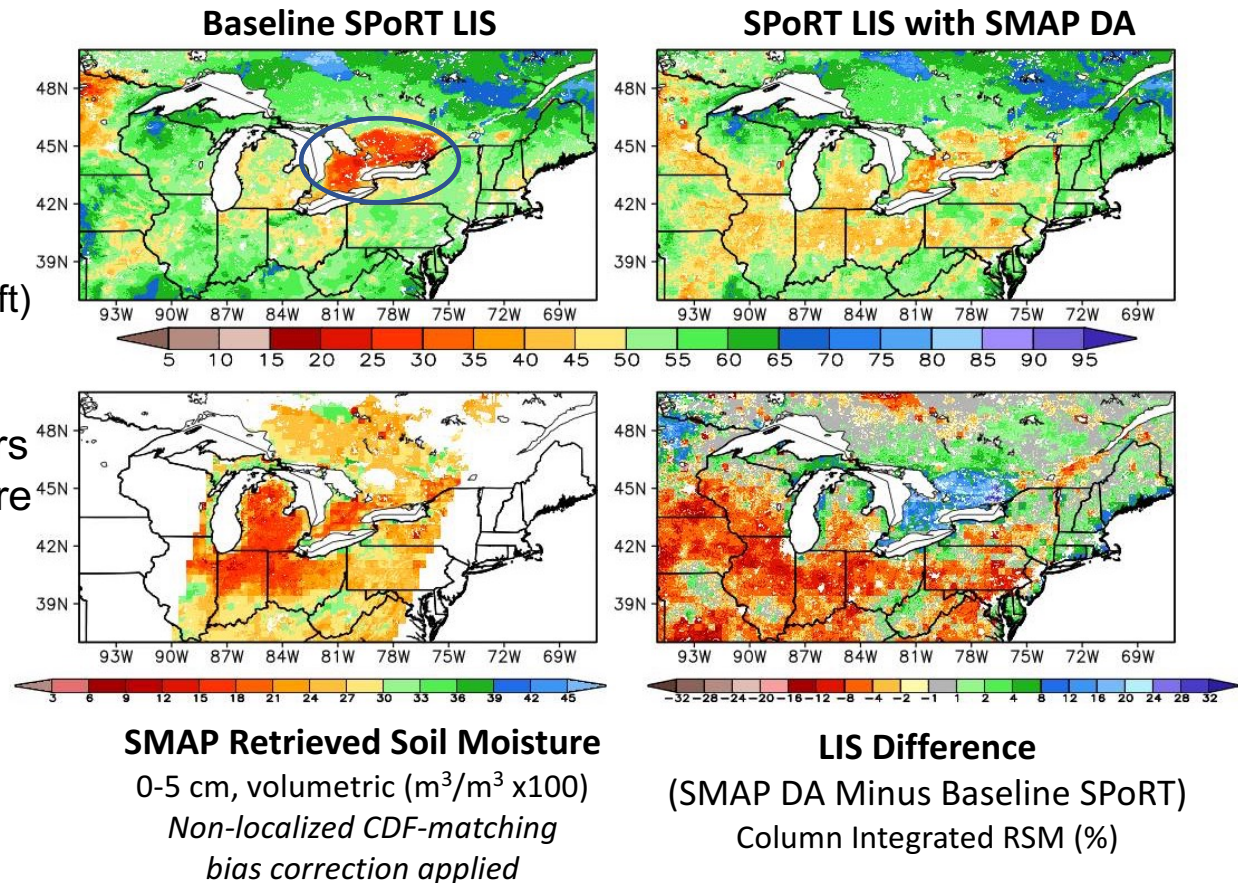


Credit: Youlong Xia, Pingping Xie (NCEP/EMC); David Mocko (NASA/GSFC)

Better Blending of Soil Moisture Across US-Canada Border

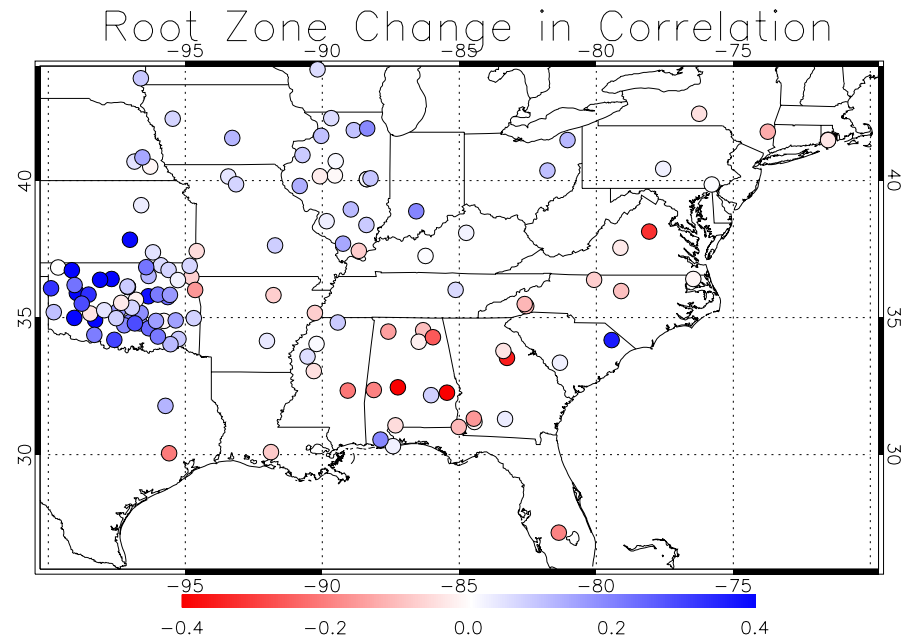
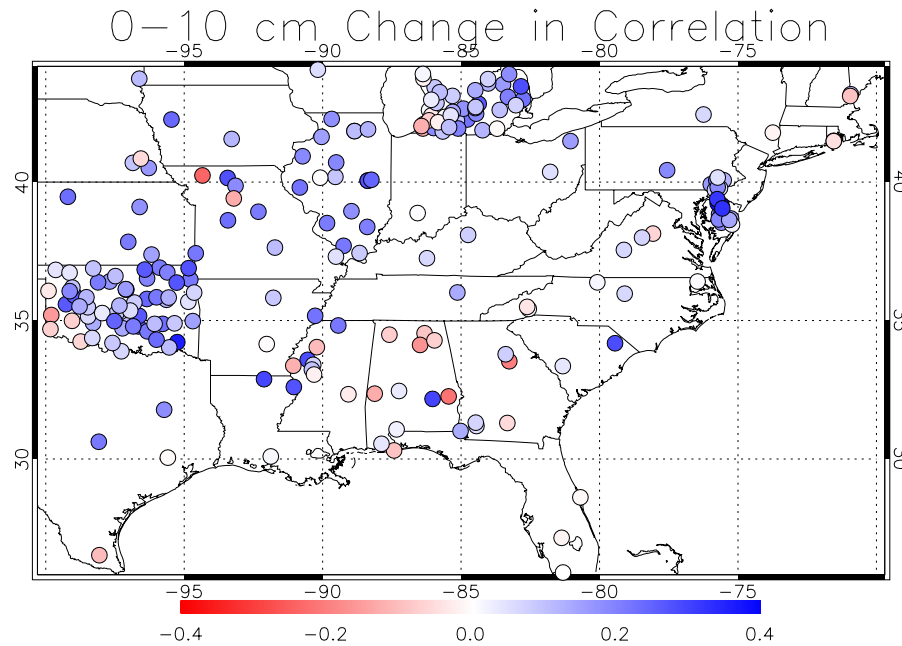
- Soil moisture discontinuities can occur in regions where different precipitation inputs are blended
 - NLDAS-2 uses radar-derived precipitation over U.S. and reanalysis outside of U.S.
 - Results in anomalous dry conditions in southern Ontario (upper left, oval)
 - SMAP retrieved soil moisture (lower left) does not have this feature.
- Through assimilation of SMAP L2 soil moisture fields, this anomaly disappears over time (upper right) to provide a more representative soil moisture field
- This should help forecasters better assess current regional conditions and provide more accurate initialization of NWP models.

0-2 m Column Integrated Relative Soil Moisture (%)
12Z 4 Jun 2016



Credit: Youlong Xia, Pingping Xie (NCEP/EMC); David Mocko (NASA/GSFC)

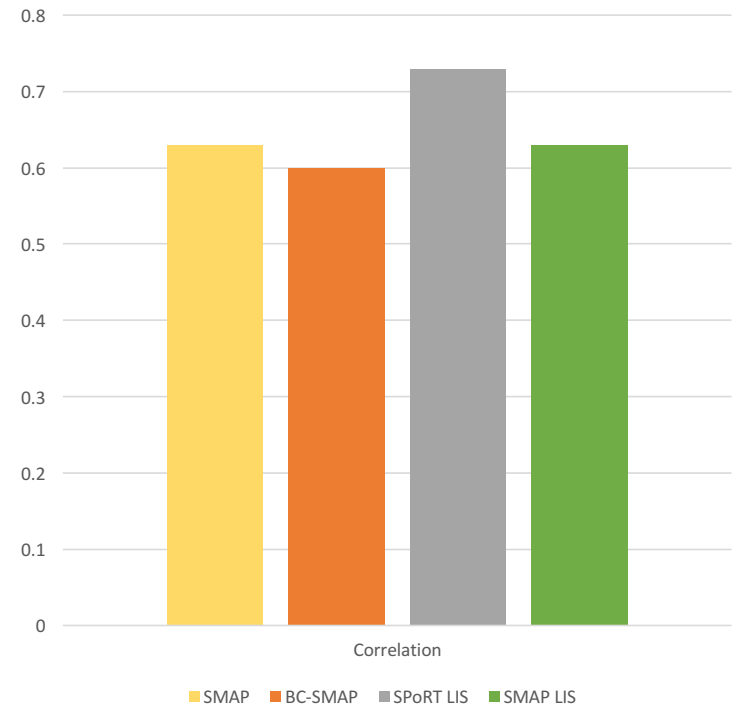
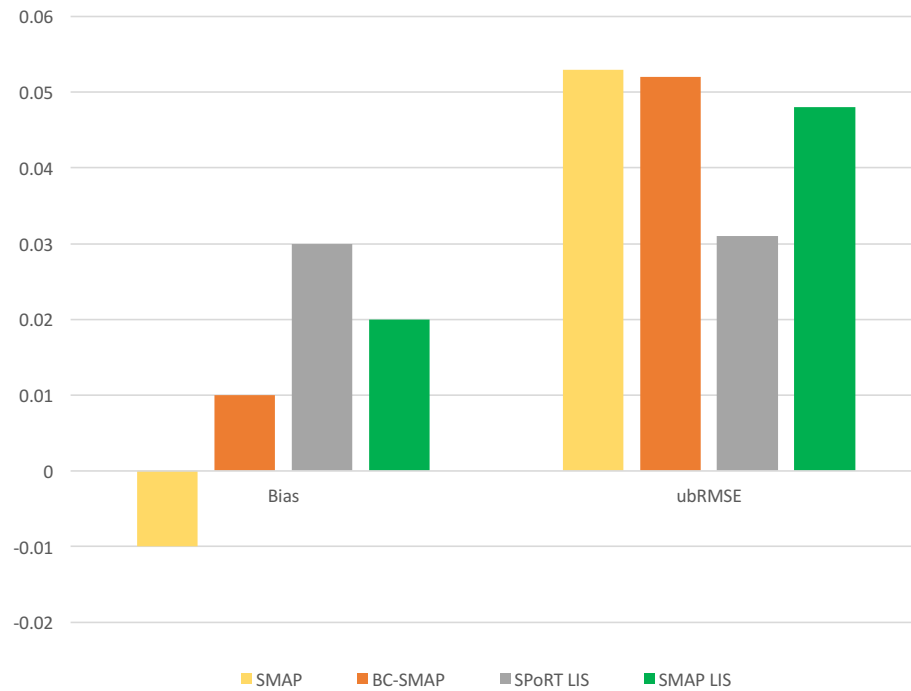
Previous Validation Results (SMOS DA)



	Near Surface (0-10 cm)			Root Zone (10-100 cm)		
	Bias	Err SD	Corr.	Bias	Err SD	Corr.
Control	3.6%	23.5%	0.47	4.0%	10.6%	0.61
SMOS DA	-0.5%	21.8%	0.57	10.6%	11.8%	0.67

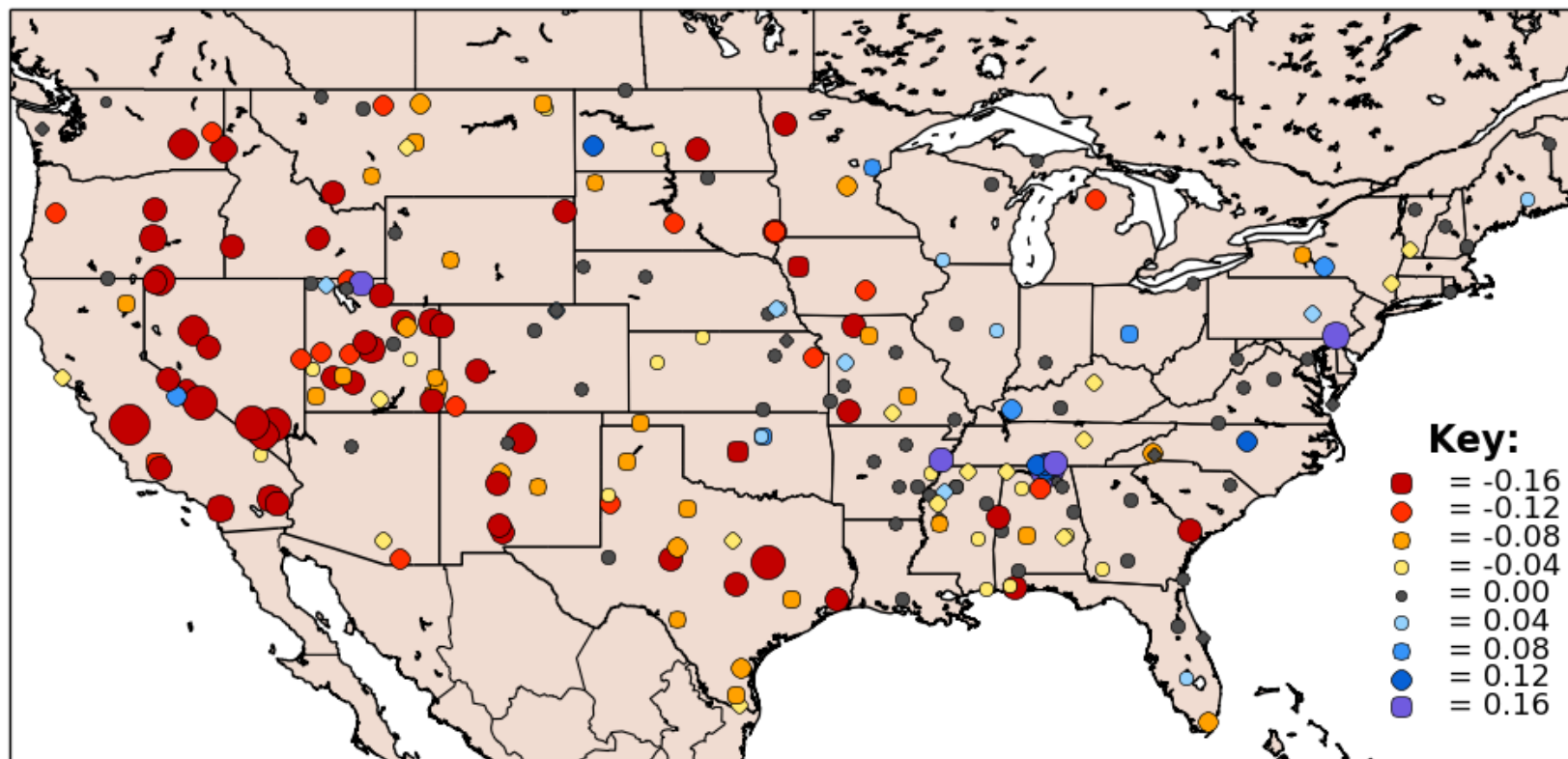
Quantitative Validation Results

Station Validation

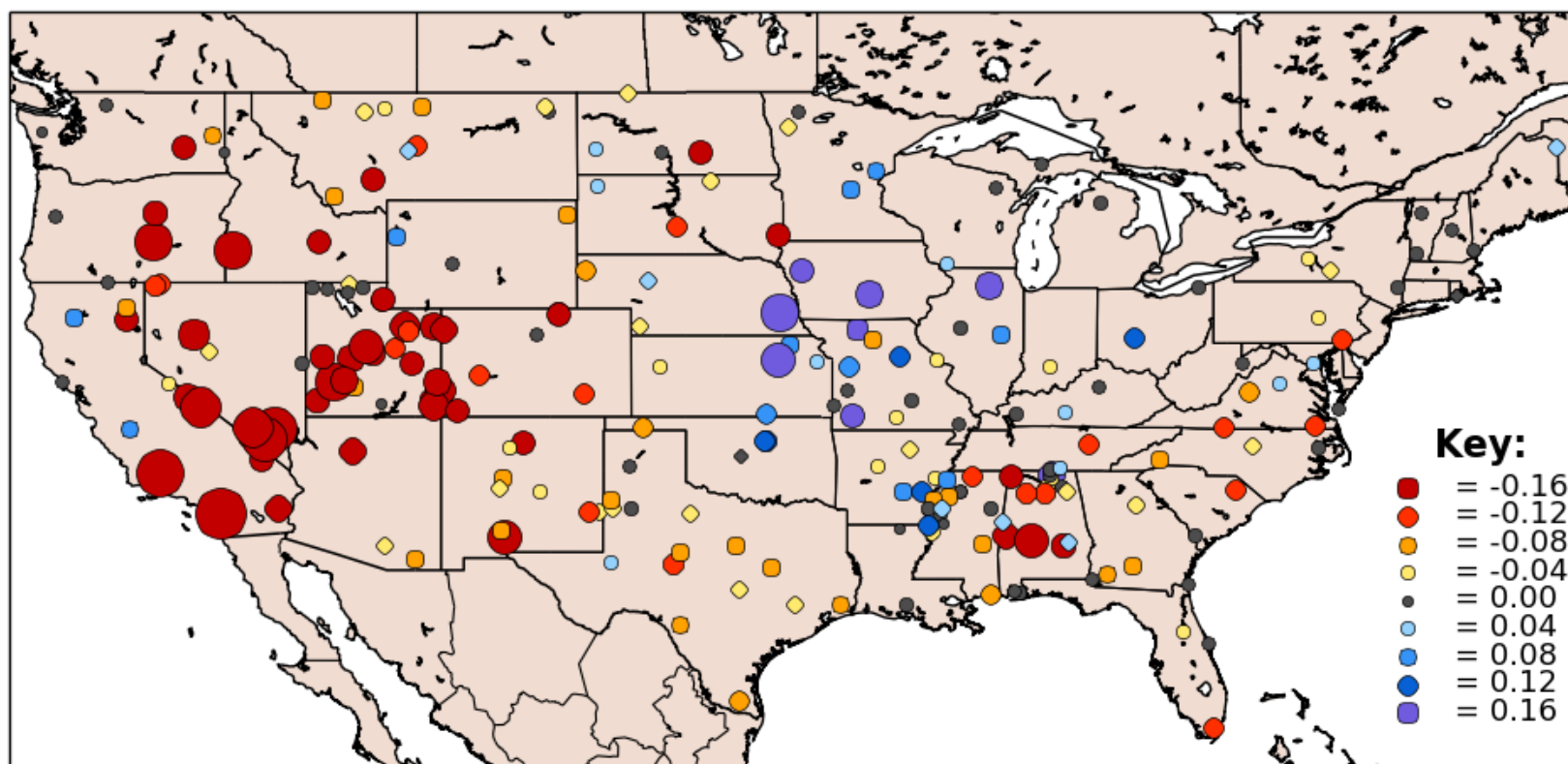


SMAP Correlation change 2015

Y2015 0-10 cm SM SMAPENHDA-SPORTLIS RCORR Diff at SCAN+USCRN Stations



Y2016 0-10 cm SM SMAPENHDA-SPORTLIS RCORR Diff at SCAN+USCRN Stations



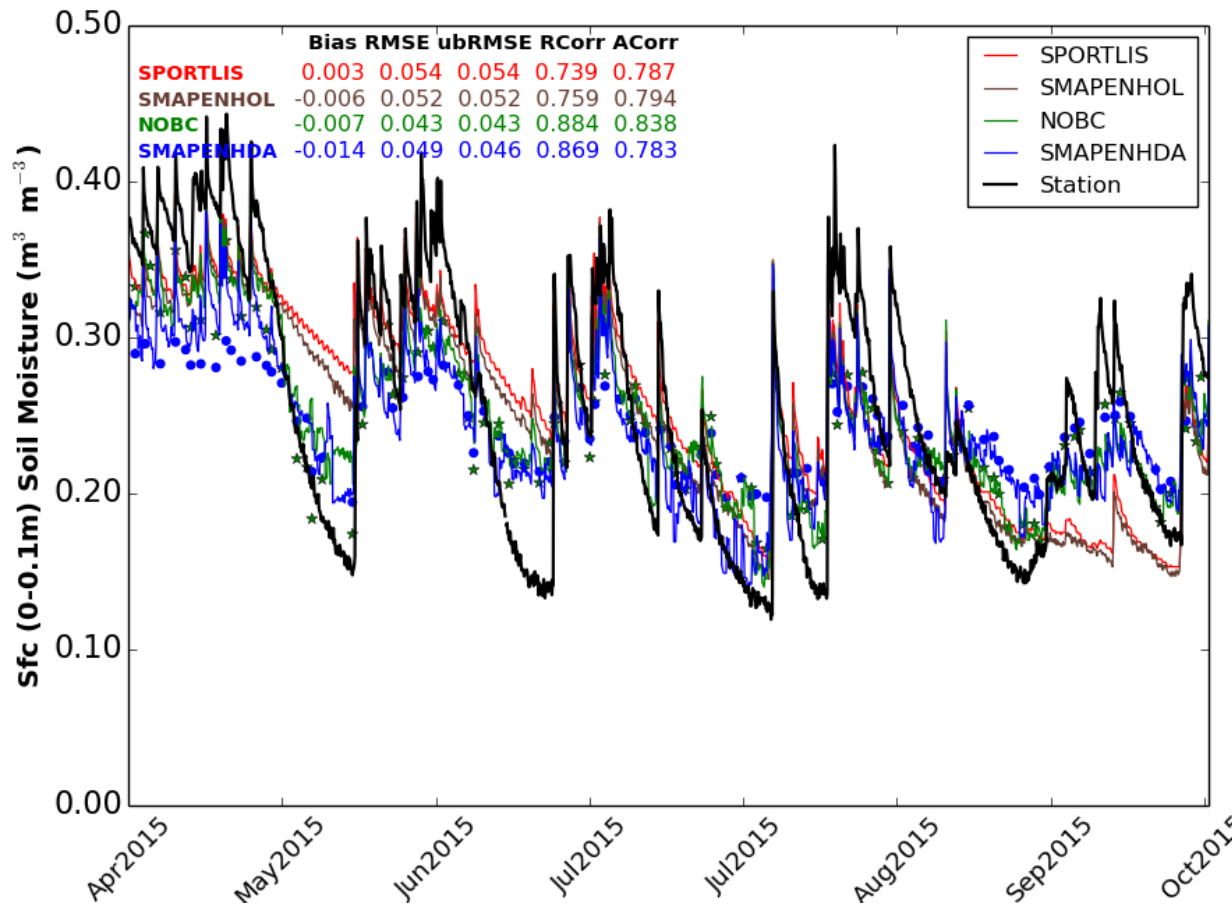
Possible Issues

- SMAP Data Accuracy
- Bias Correction
- AM/PM data
- Representativeness (point vs grid cell, also vertical) of validation data
- Depth discrepancies
 - (10 cm model layer, 5 cm or less SMAP measurement)
- Initial LIS is too hard to improve upon
 - 3-km resolution has more detail than 36 or 9-km observations
 - Forcing data (NLDAS-2) is high quality

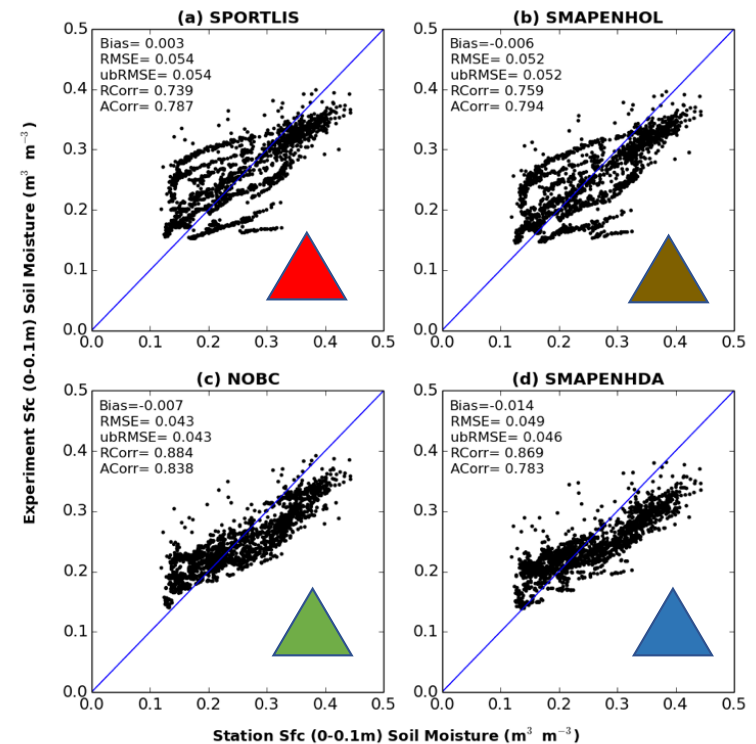
New Validation Results (SMAP DA)

- Corr increases from .79 to .84 (NOBC)
- ubRMSE decreases from .054 to .043

Sfc SM comparison for stat: MEAN region: SCAN_TN_2075_McAllisterFarm



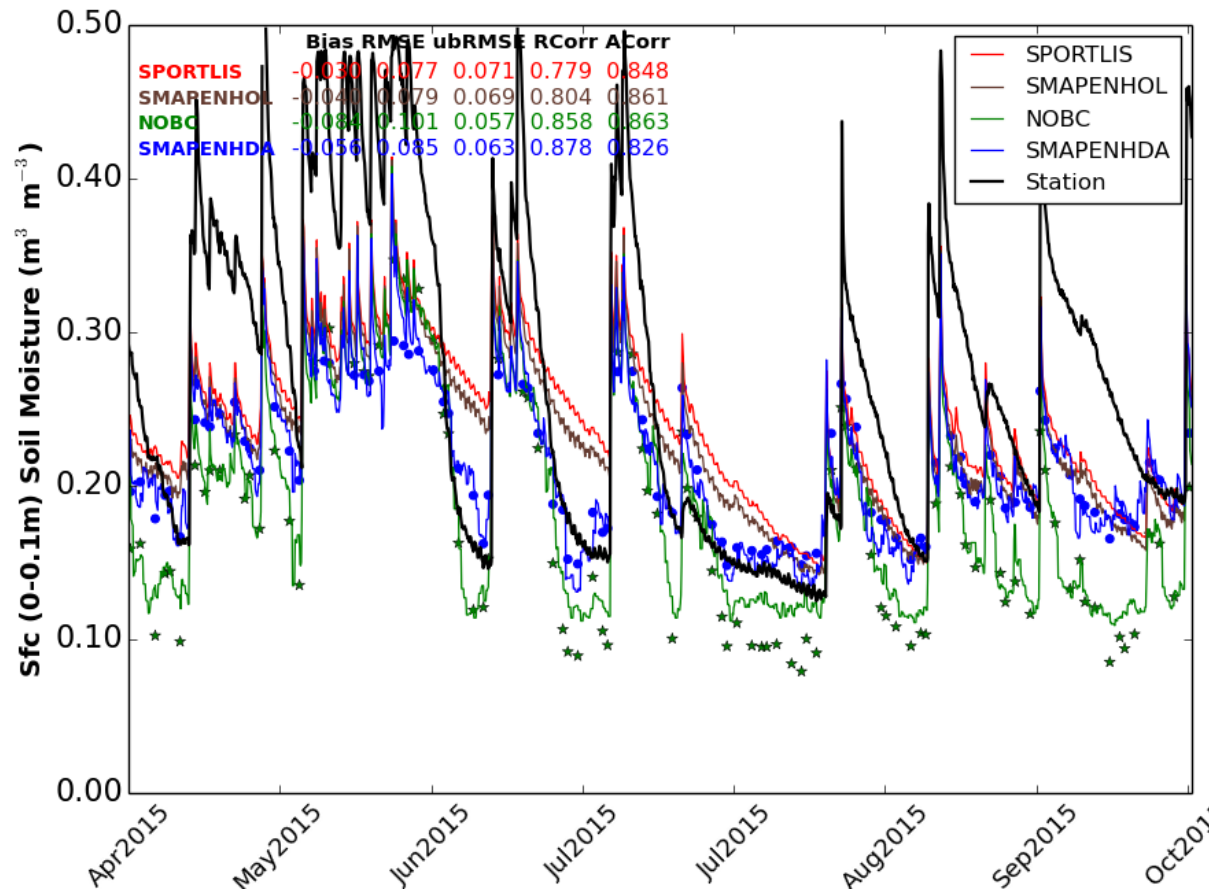
Year 2015 Sfc SM scatter plots for region: SCAN_TN_2075_McAllisterFarm



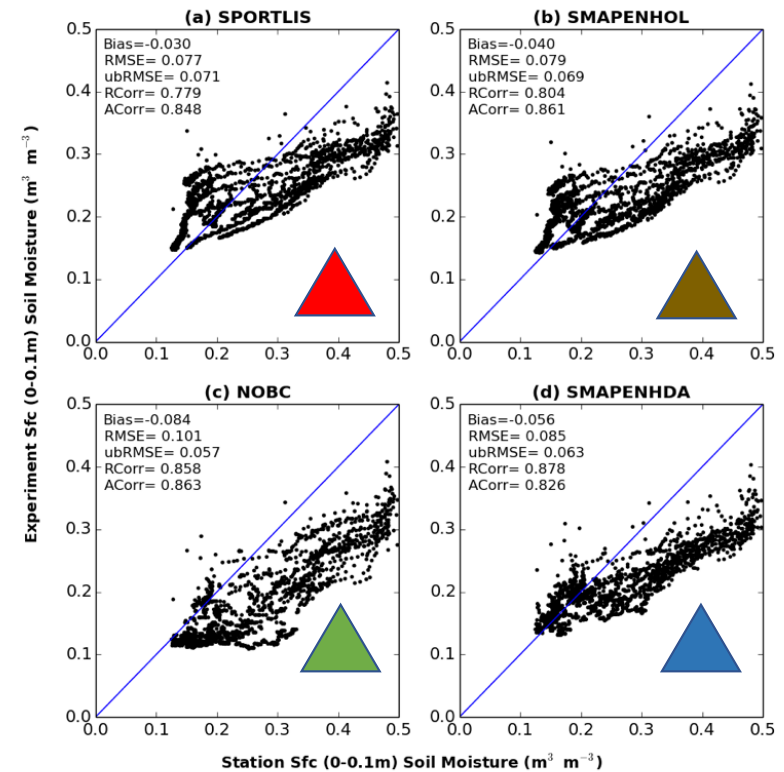
New Validation Results (SMAP DA)

- Corr increases from .78 to 85 (NOBC)
- ubRMSE decreases from .071 to .057

Sfc SM comparison for stat: MEAN region: USCRN_OK_1005_Stillwater2W



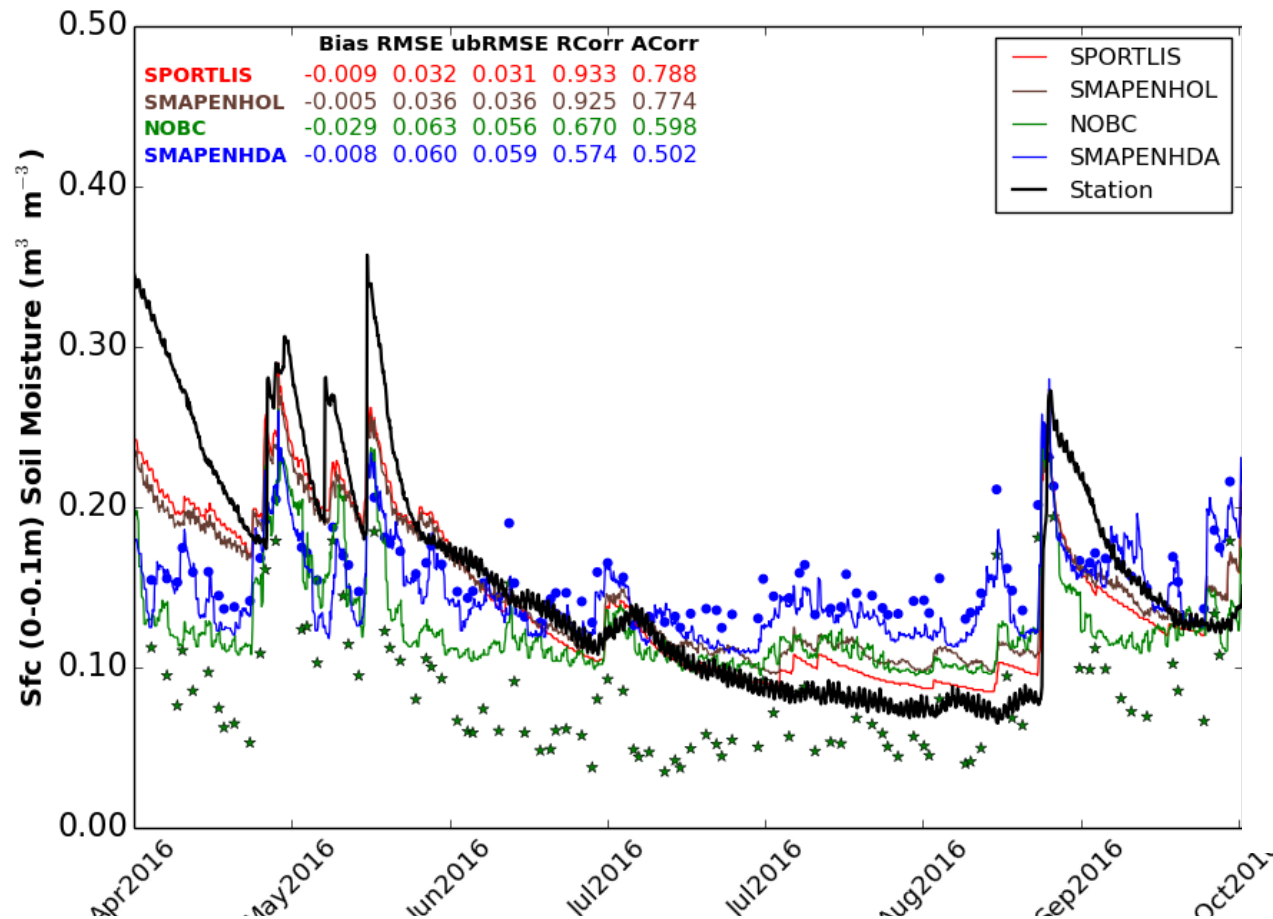
Year 2015 Sfc SM scatter plots for region: USCRN_OK_1005_Stillwater2W



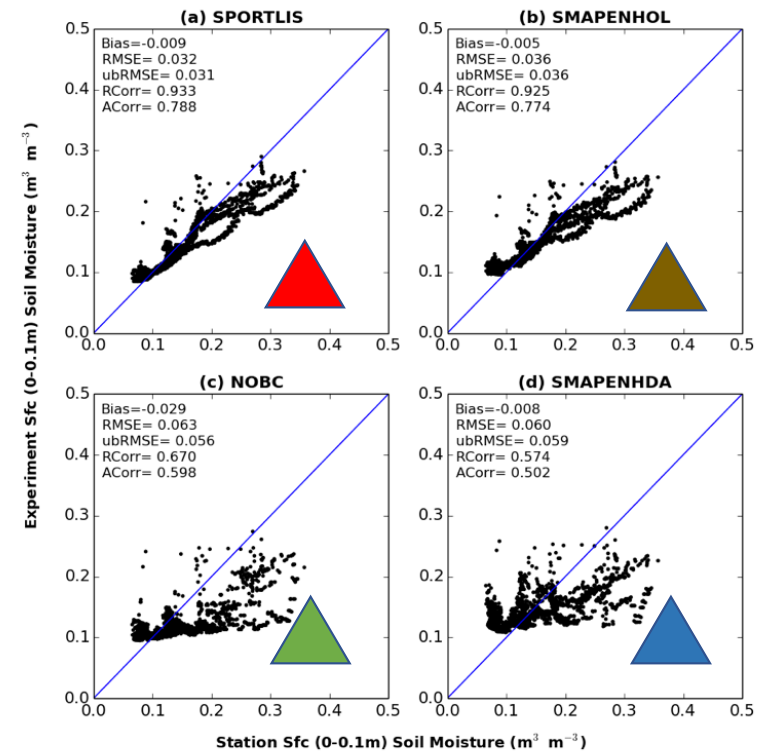
New Validation Results (SMAP DA)

- Corr decreases from .93 to .67 (NOBC)
- ubRMSE increases from .031 to .059

Sfc SM comparison for stat: MEAN region: SCAN_UT_2137_Nephi



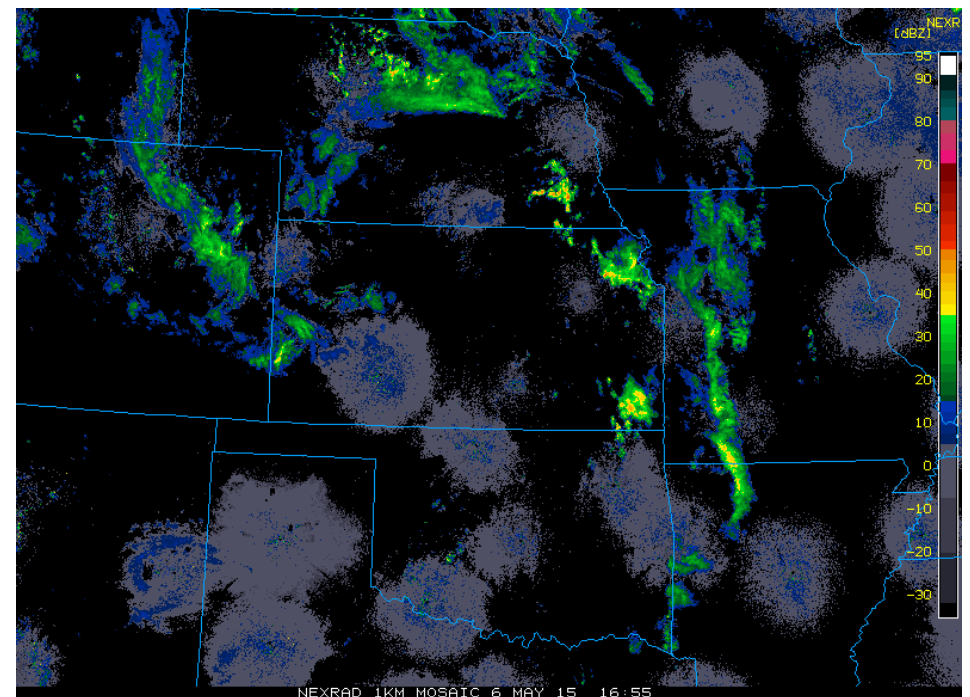
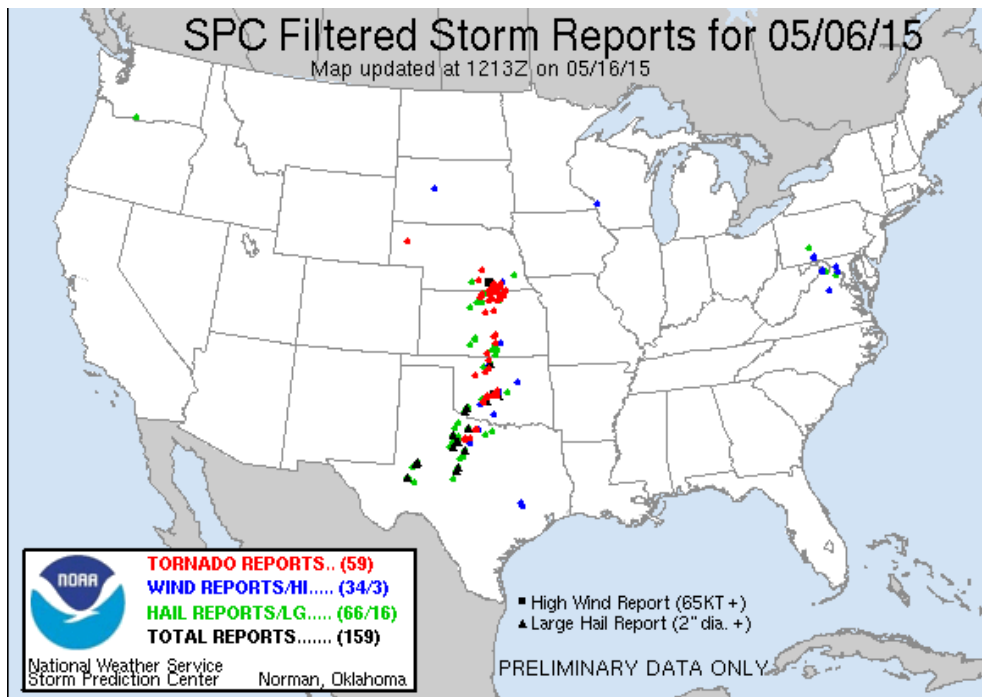
Year 2016 Sfc SM scatter plots for region: SCAN_UT_2137_Nephi



Possible Issues (and findings)

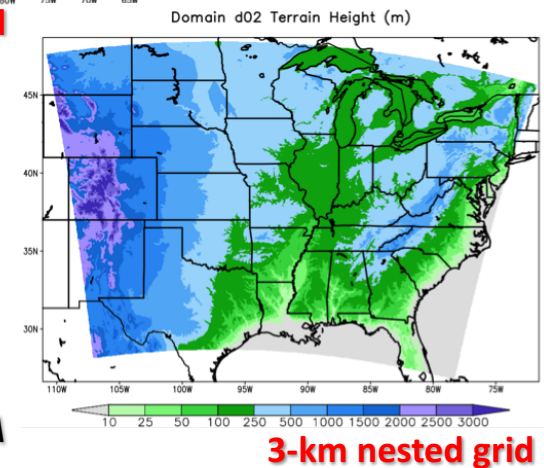
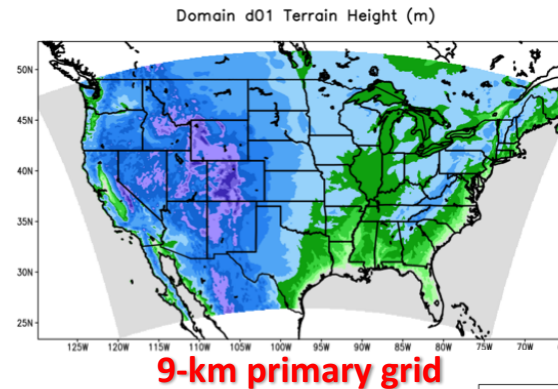
- Bias Correction
 - NoBC run indicates BC has a minor effect on statistics
- AM/PM data
 - Validation of retrievals indicates small difference
- Representativeness (point vs grid cell, also vertical) of validation data
 - Previously got positive impact (correlations) with SMOS
 - Others getting good impact
- Depth discrepancies
 - (10 cm model layer, 5 cm or less SMAP measurement)
 - Experiment in progress
 - Previously got positive impact with SMOS
- Information content of 3-km LSM is too hard to match with 9-km obs
 - Previously got positive impact with SMOS

6-7 May 2015 Southern Plains tornado outbreak: *NASA Unified-WRF (NU-WRF) sensitivity simulations*



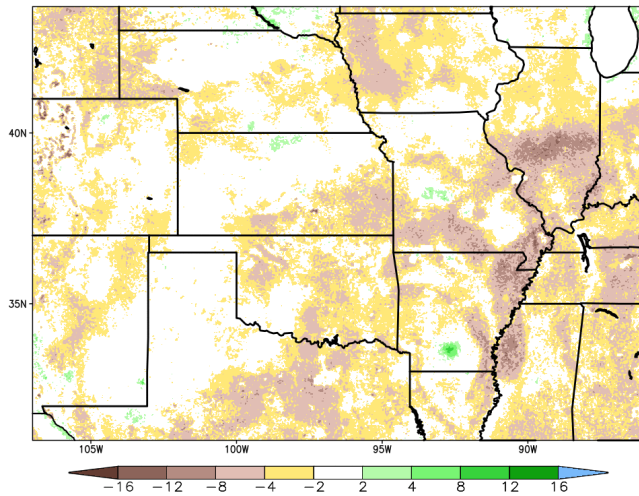
NASA Unified-WRF (NU-WRF) model runs: *Model configuration and experiment details*

- Domain/grid set up (images at right)
 - *Contiguous U.S. at 9-km horizontal grid spacing*
 - *Convection-allowing 3-km mesh nested grid*
- Sixty-hour forecasts
 - *0000 UTC 6 May to 1200 UTC 8 May*
 - *Initialized at 0000 UTC 6 May 2015*
 - *Initial/boundary conditions from NCEP Global Forecast System model*
- Model physics parameterization choices
 - *Noah land surface model (same as in LIS runs)*
 - *Convection: Scale-aware Kain-Fritsch (9-km grid only)*
 - *Planetary Boundary Layer: Yonsei University scheme*
 - *Microphysics: NASA/Goddard 4-ice parameterization*
 - *Radiation: NASA/Goddard short- and long-wave radiation schemes*
- Two land surface initialization simulations
 - *“sportlis”: 0-h land surface fields from SPoRT’s “operational” LIS run; no DA*
 - *“smapenhda”: 0-h land surface fields from SMAP-Enhanced DA LIS run*



NASA Unified-WRF (NU-WRF) model runs: *Soil Moisture Initial Condition Differences on 3-km nest*

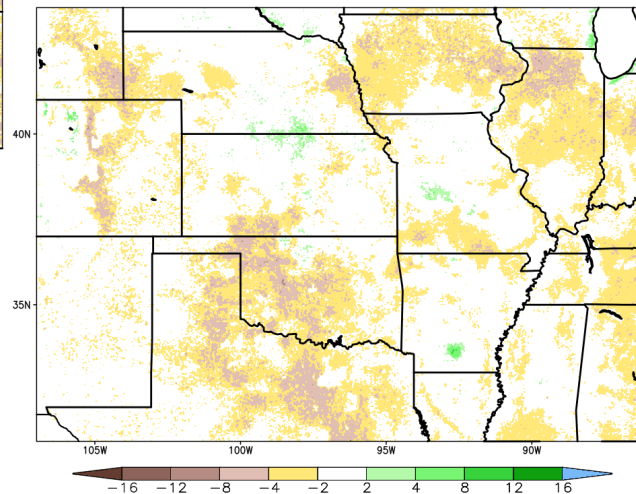
0–10 cm Vol. SM Diff (SMAPENHDA–SPORTLIS; $\text{m}^3/\text{m}^3 \times 100$)
SMAPENHDA 0–h Forecast Valid: 00Z 06 MAY 2015



Top soil layer (0-10 cm)

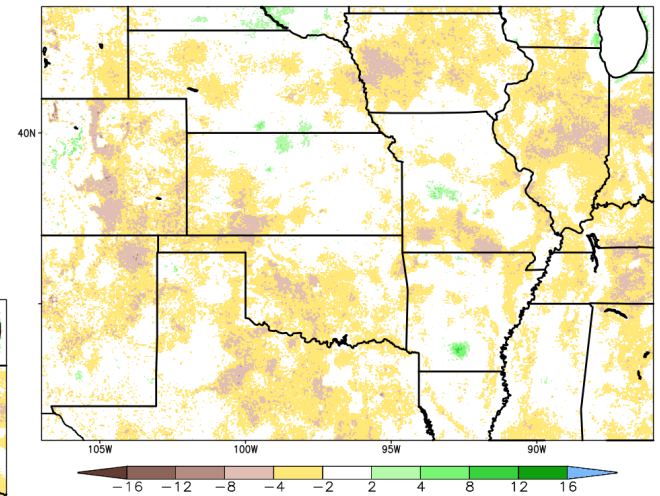
**SMAP-Enhanced data assimilation
run generally produced drier
soil moisture fields than sportlis.**

40–100 cm Vol. SM Diff (SMAPENHDA–SPORTLIS; $\text{m}^3/\text{m}^3 \times 100$)
SMAPENHDA 0–h Forecast Valid: 00Z 06 MAY 2015



Soil layer 3 (40-100 cm)

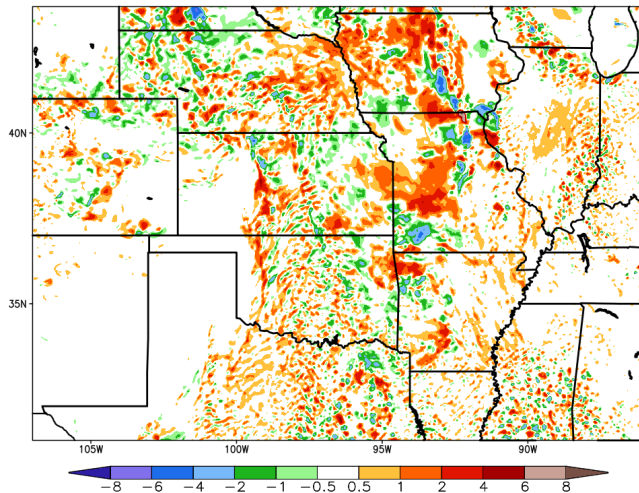
10–40 cm Vol. SM Diff (SMAPENHDA–SPORTLIS; $\text{m}^3/\text{m}^3 \times 100$)
SMAPENHDA 0–h Forecast Valid: 00Z 06 MAY 2015



Soil layer 2 (10-40 cm)

NASA Unified-WRF (NU-WRF) model runs: *Slight improvement in simulated convective evolution*

2-m Temp Diff (SMAPENHDA-SPORTLIS; deg C)
SMAPENHDA 21-h Forecast Valid: 21Z 06 MAY 2015

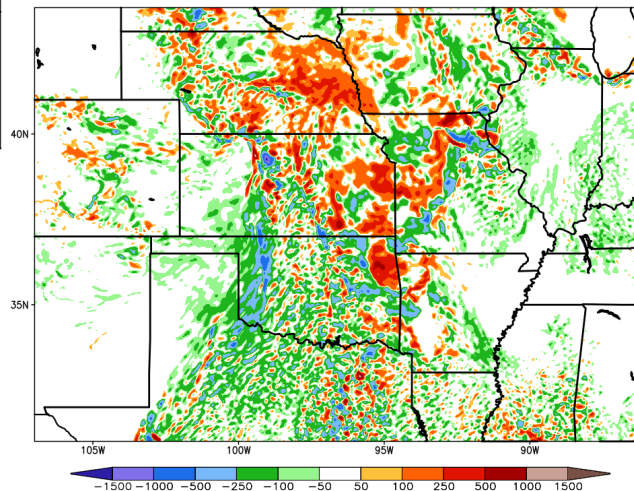


2-m Temperature

****All simulated fields shown are
from the 21-hour NU-WRF forecast,
valid on 2100 UTC 6 May 2017**

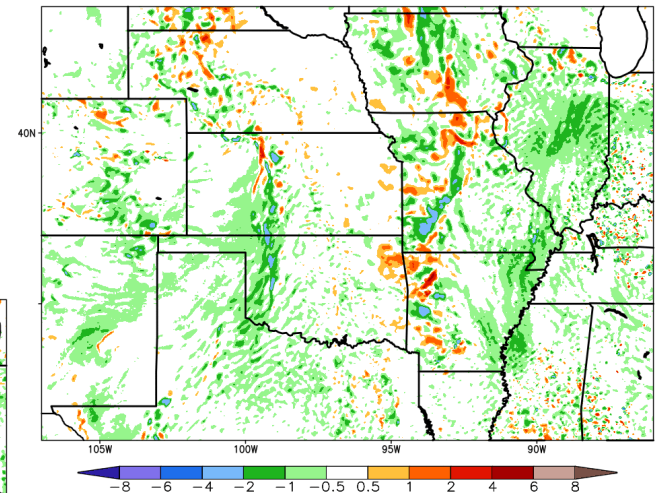
**smapenhda-initialized NU-WRF runs
generally simulated warmer/drier
daytime temperatures/dewpoints,
with slightly lower instability where
convection/supercells developed.**

Surface Based CAPE Diff (SMAPENHDA-SPORTLIS; J/kg)
SMAPENHDA 21-h Forecast Valid: 21Z 06 MAY 2015



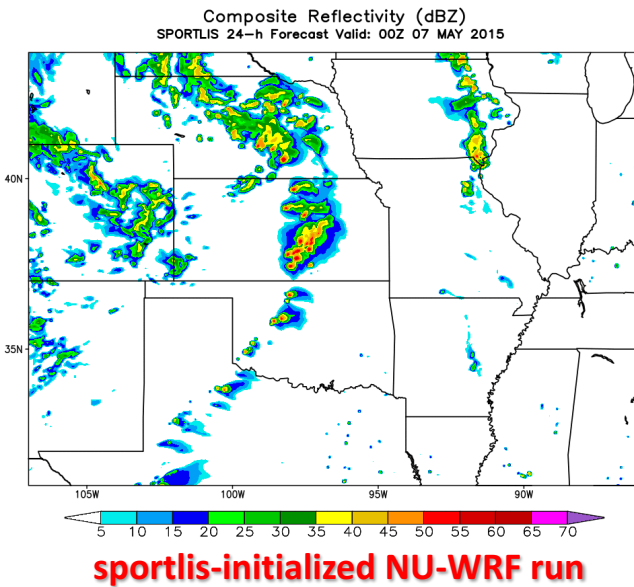
Sfc-based Convective Available Potential Energy

2-m Dew Point Diff (SMAPENHDA-SPORTLIS; deg C)
SMAPENHDA 21-h Forecast Valid: 21Z 06 MAY 2015

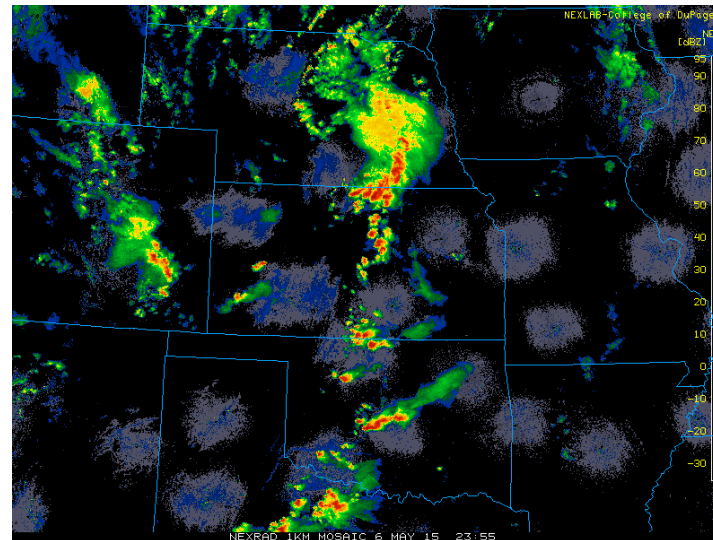


2-m Dewpoint Temperature

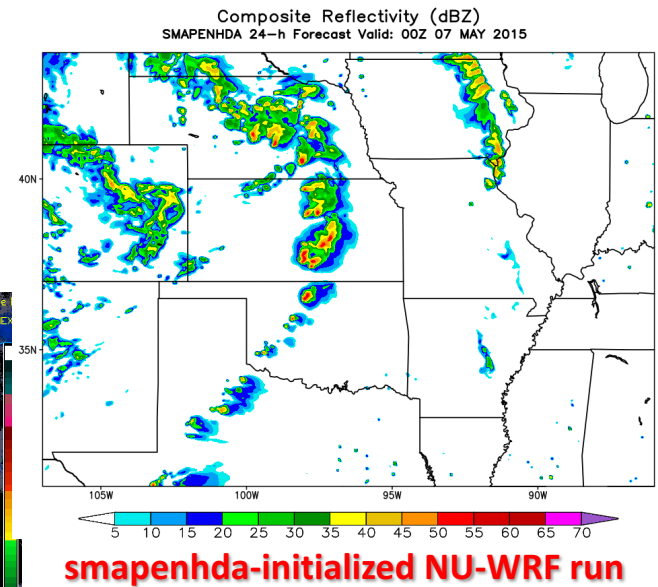
NASA Unified-WRF (NU-WRF) model runs: *Slight improvement in simulated convective evolution*



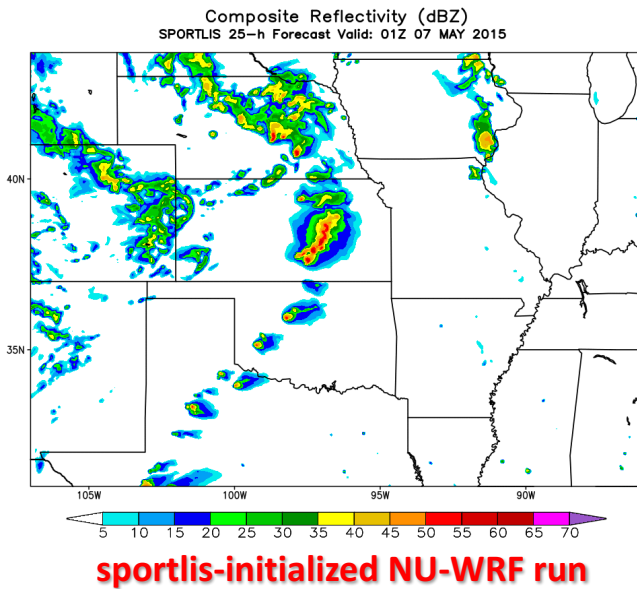
**24-hour NU-WRF forecasts
and observed radar imagery
valid at 0000 UTC 7 May 2015**



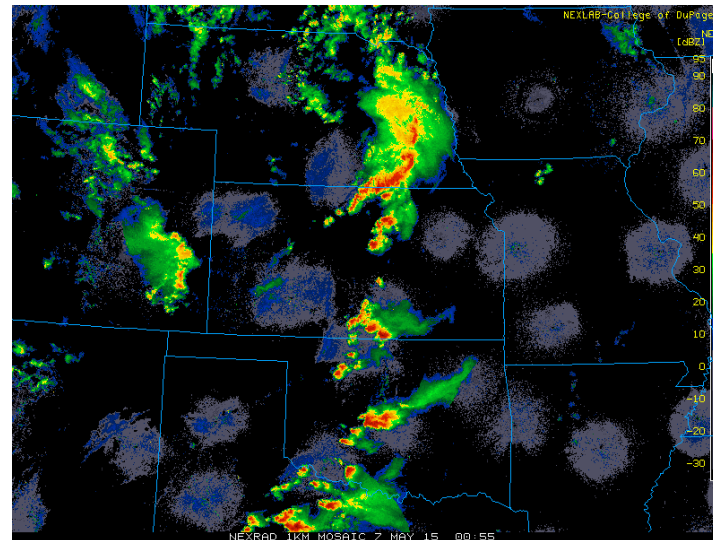
Observed regional radar reflectivity (dBZ)



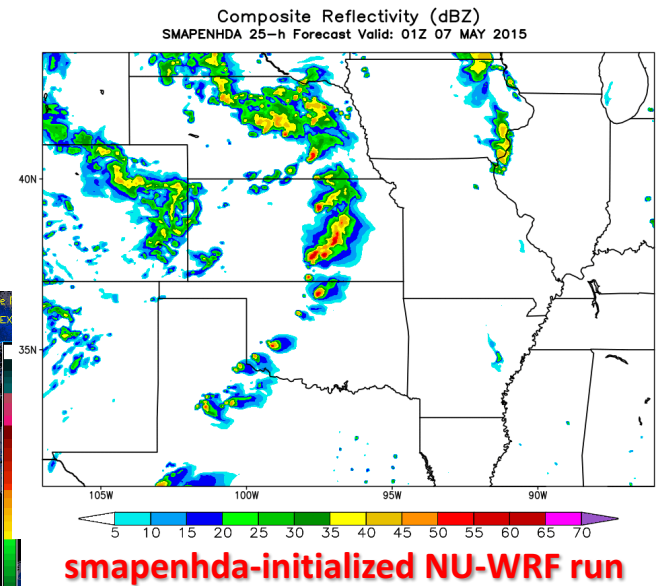
NASA Unified-WRF (NU-WRF) model runs: *Slight improvement in simulated convective evolution*



**25-hour NU-WRF forecasts
and observed radar imagery
valid at 0100 UTC 7 May 2015**

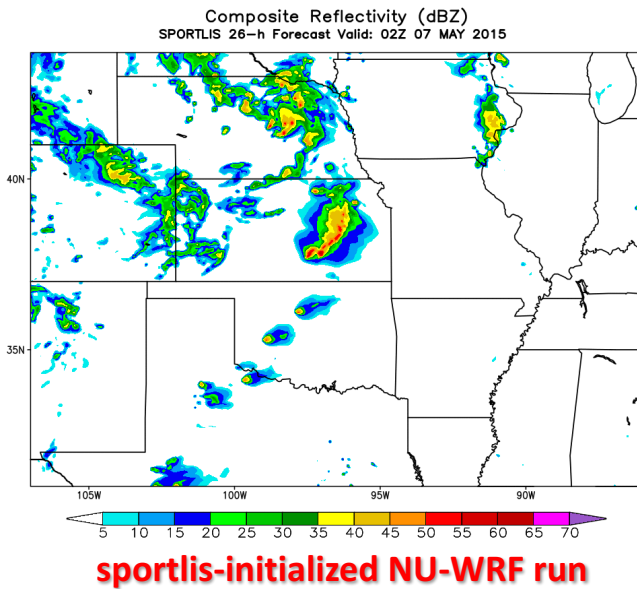


Observed regional radar reflectivity (dBZ)

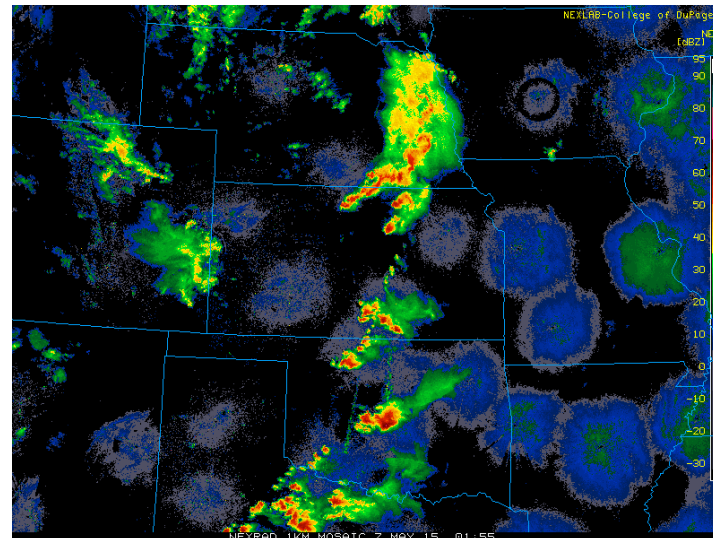


NASA Unified-WRF (NU-WRF) model runs:

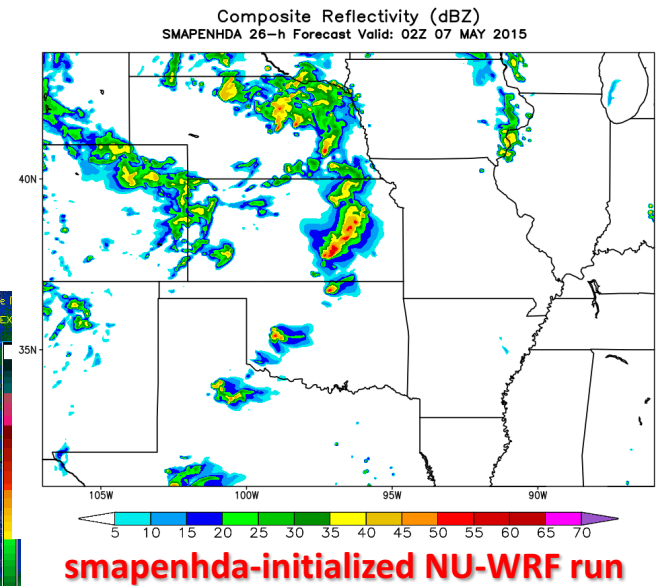
Slight improvement in simulated convective evolution



**26-hour NU-WRF forecasts
and observed radar imagery
valid at 0200 UTC 7 May 2015**

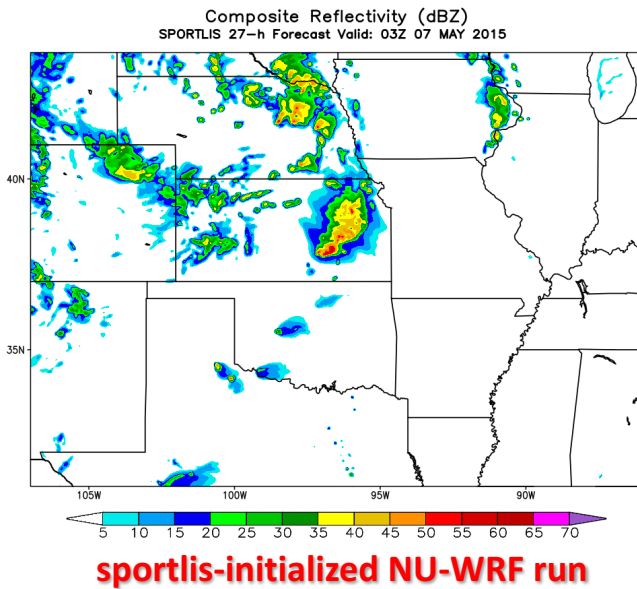


Observed regional radar reflectivity (dBZ)



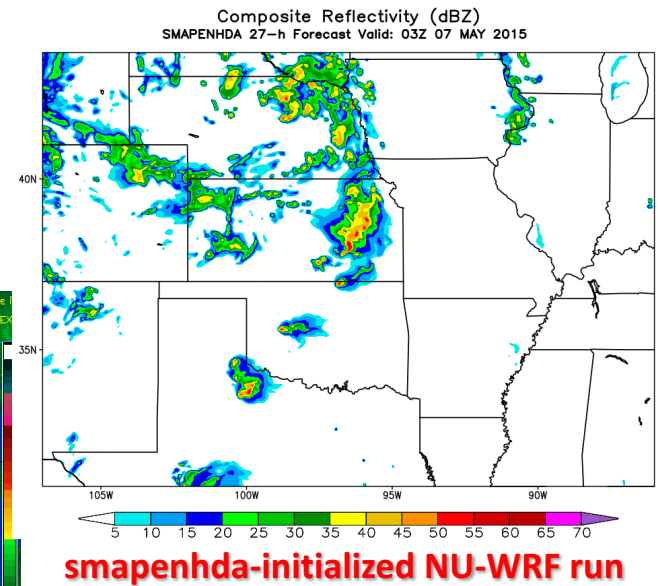
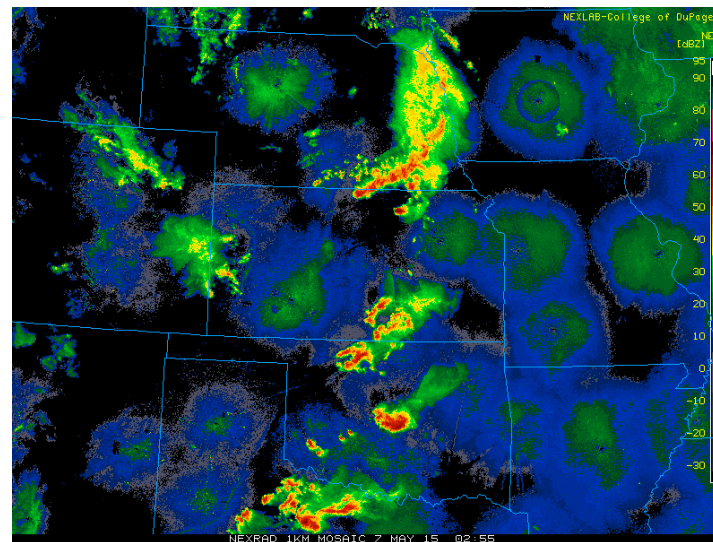
NASA Unified-WRF (NU-WRF) model runs:

Slight improvement in simulated convective evolution

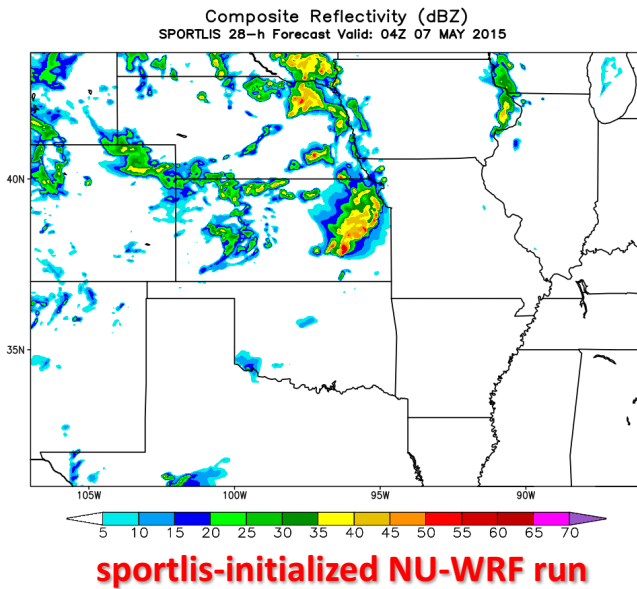


**27-hour NU-WRF forecasts
and observed radar imagery
valid at 0300 UTC 7 May 2015**

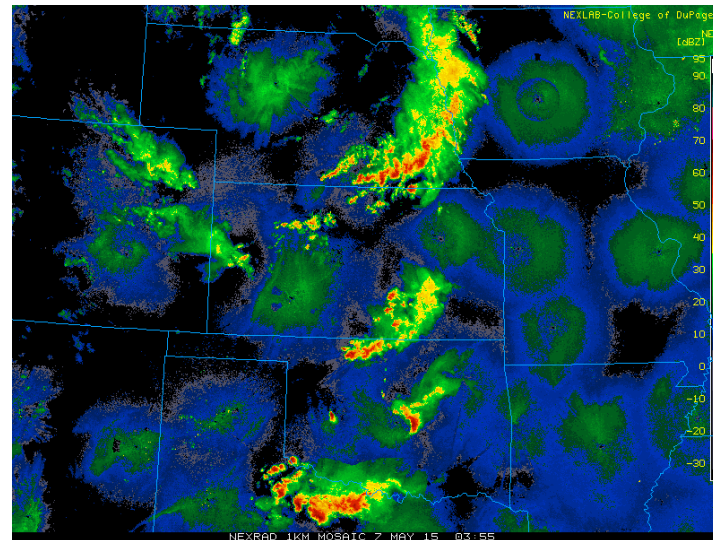
***smapenhda-initialized NU-WRF runs
more correctly retained convection
in southern OK and northern TX into
the overnight hours of 7 May 2015.***



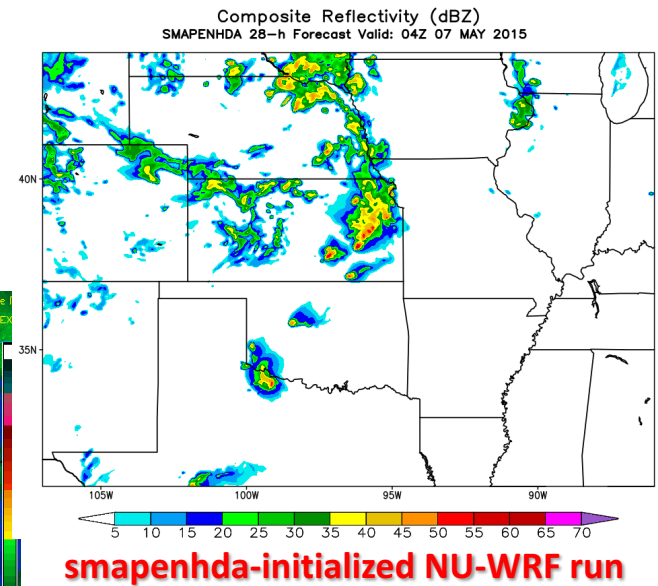
NASA Unified-WRF (NU-WRF) model runs: *Slight improvement in simulated convective evolution*



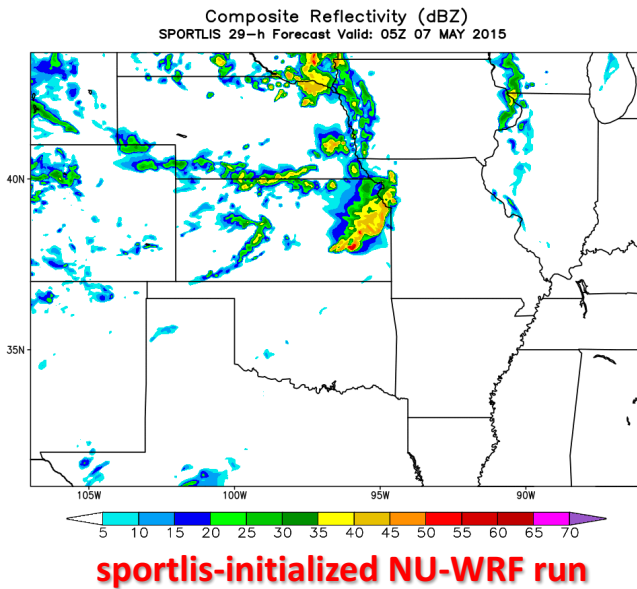
**28-hour NU-WRF forecasts
and observed radar imagery
valid at 0400 UTC 7 May 2015**



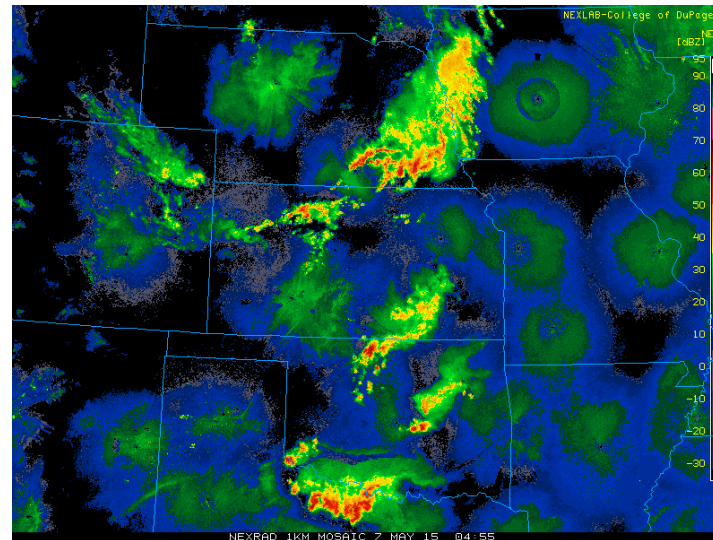
***smapenhda-initialized NU-WRF runs
more correctly retained convection
in southern OK and northern TX into
the overnight hours of 7 May 2015.***



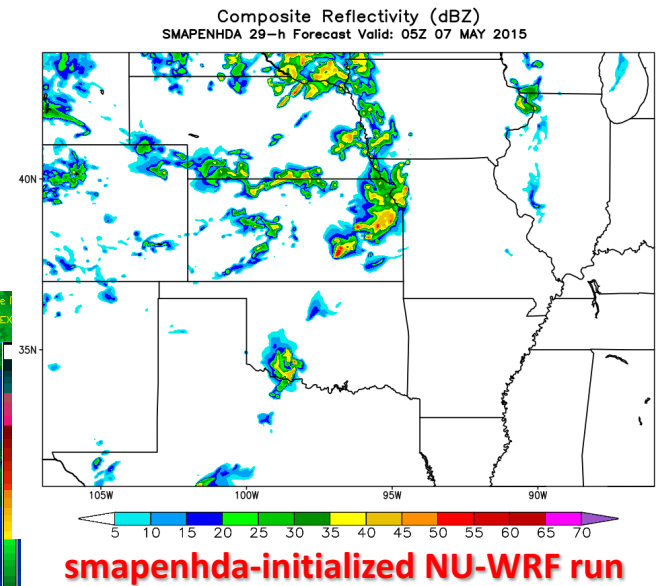
NASA Unified-WRF (NU-WRF) model runs: *Slight improvement in simulated convective evolution*



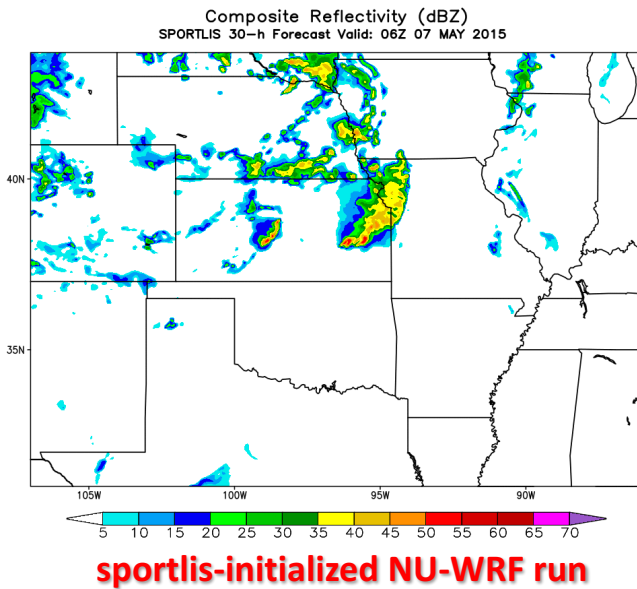
**29-hour NU-WRF forecasts
and observed radar imagery
valid at 0500 UTC 7 May 2015**



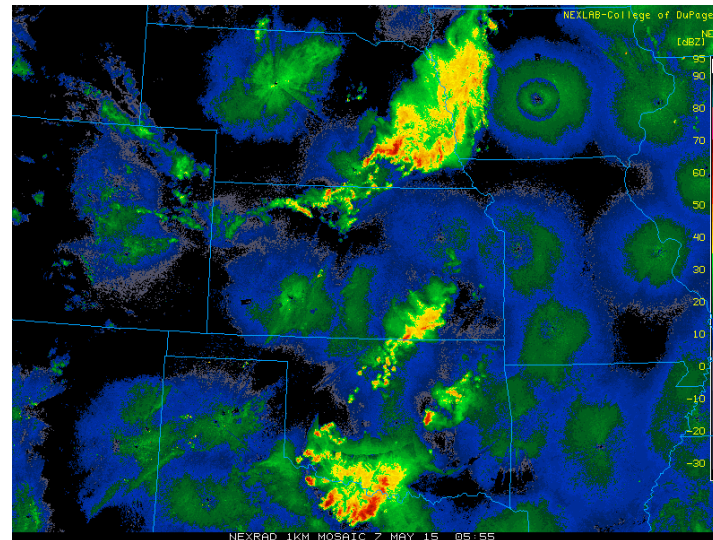
***smapenhda-initialized NU-WRF runs
more correctly retained convection
in southern OK and northern TX into
the overnight hours of 7 May 2015.***



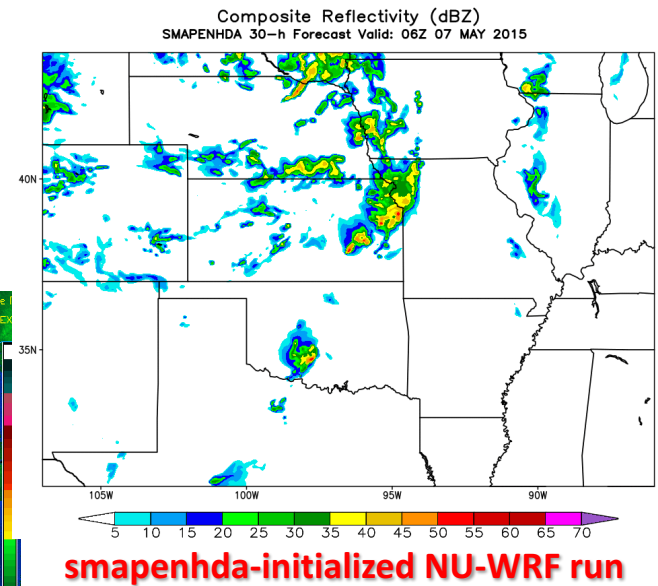
NASA Unified-WRF (NU-WRF) model runs: *Slight improvement in simulated convective evolution*



**30-hour NU-WRF forecasts
and observed radar imagery
valid at 0600 UTC 7 May 2015**



***smapenhda-initialized NU-WRF runs
more correctly retained convection
in southern OK and northern TX into
the overnight hours of 7 May 2015.***



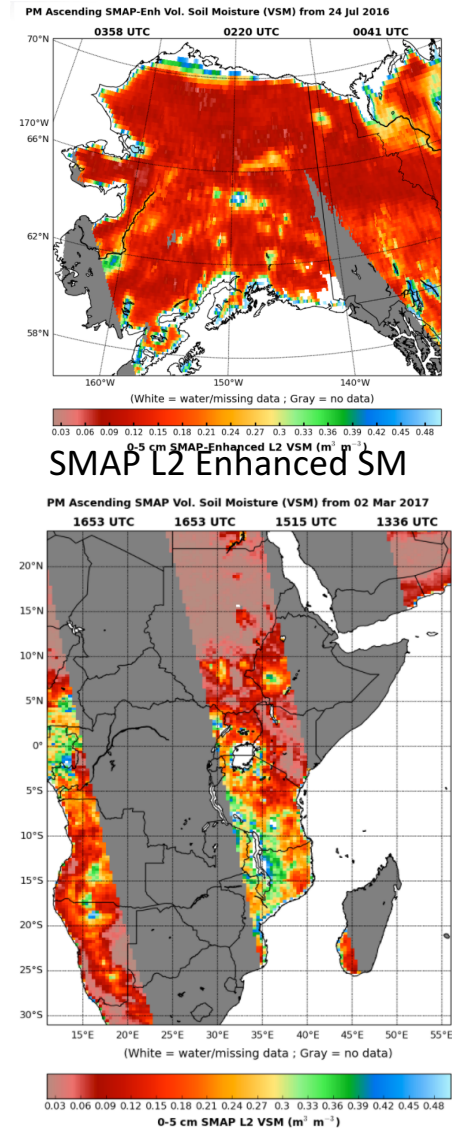
Future Plans

- Soil Moisture
 - Validation of soil moisture against ground probes
 - Investigation of bias correction methods
- Coupled NWP
 - Validation of 48-hr NWP forecasts
 - High-impact case studies
 - Comprehensive seasonal validation
- Africa domain
- Possible Alaska domain

<https://weather.msfc.nasa.gov/sport>

->Realtime Data

->SMAP Soil Moisture



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Questions and Comments?

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